

# Chapter 1

## Commission Delegated Regulation (EU) No 826/2012

ANNEX I TABLE 1 List of fields for  
notification purpose (Article 2) TABLE 2  
List of fields for public disclosure purpose  
(Article 3)

TABLE 1 List of fields for notification purpose (Article 2)

Field identifier	Description
Position holder	For natural persons: the first name and the last name For legal persons: full name including legal form as provided for in the register where it is incorporated, if applicable
Legal person identification code	Bank Identifier Code, if available
Address of the position holder	Full address (e.g. street, street number, postal code, city, state/ province) and country
Contact details of the position holder	Telephone number, fax number (if available), e-mail address
Reporting person	For natural persons: the first name and the last name For legal persons: full name including legal form as provided for in the register where it is incorporated, if applicable
Address of the reporting person	Full address (e.g. street, street number, postal code, city, state/ province) and country, when different from the position holder
Contact details of the reporting person	Telephone number, fax number (if available), e-mail address, when different from the position holder
Reporting date	Date on which the notification is submitted in accordance with ISO standard 8601:2004 (yyyy-mm-dd)
Issuer identification	For shares: full name of the company that has shares admitted to trading on a trading venue For sovereign debt: full name of the issuer For uncovered sovereign credit default swaps: full name of the underlying sovereign issuer
ISIN	For shares only: ISIN of the main class of ordinary shares of the issuer. If there are no ordinary shares admitted to trading, the ISIN of the class of preference shares (or of the main class of preference shares admitted to trading if there are several classes of such shares)

Country code	Two letter code for the sovereign issuer country in accordance with ISO standard 3166-1
Position date	Date on which the position was created, changed or ceased to be held. Format in accordance with ISO standard 8601:2004 (yyyy-mm-dd)
Net short position size in percentage	For shares only: percentage (rounded to 2 decimal places) of the issued share capital, expressed in absolute terms, with no "+" or "-" signs
Net short position equivalent amount	For shares: total number of equivalent shares For sovereign debt: equivalent nominal amount in euro For uncovered sovereign credit default swaps: equivalent nominal amount in euro Figures expressed in absolute terms, with no "+" or "-" signs and the currency expressed in accordance with ISO standard 4217
Date of the previous notification	Date on which the last position reported by the position holder in relation to the same issuer was notified. Format in accordance with ISO standard 8601:2004 (yyyy-mm-dd)
Cancellation date	Date on which a cancellation form is submitted to cancel an erroneous notification previously submitted. Format in accordance with ISO standard 8601:2004 (yyyy-mm-dd)
Comments	Free text — optional

**TABLE 2 List of fields for public disclosure purpose (Article 3)**

Field identifier	Description
Position holder	For natural persons: the first name and the last name For legal persons: full name including legal form as provided for in the register where it is incorporated, if applicable
Name of the issuer	Full name of the company that has shares admitted to trading on a trading venue
ISIN	ISIN of the main class of ordinary shares of the issuer. If there are no ordinary shares admitted to trading, the ISIN of the class of preference shares (or of the main class of preference shares admitted to trading if there are several classes of such shares)
Net short position size in percentage	Percentage (rounded to 2 decimal places) of the issued share capital
Position date	Date on which position was created, changed or ceased to be held in accordance with ISO standard 8601:2004 (yyyy-mm-dd)