


Chapter 4

Commission Delegated Regulation (EU) 2020/1230



Article 12 Information about the applicant's staff members involved in the provision of core securitisation services

An application for registration as a securitisation repository shall contain the following information about the applicant's staff members involved in the provision of core securitisation services:

- (a) a general list of staff members directly employed by the applicant, including their role and qualifications per role;
- (b) a specific description of the information technology staff members directly employed to provide core securitisation services, including the role and the qualifications of each individual;
- (c) a description of the roles and qualifications of each individual who is responsible for internal audit, internal controls, compliance, risk assessment and internal review;
- (d) the identity of staff members and the identity of staff members who are operating under any outsourcing arrangement;
- (e) details of training provided to staff members on the applicant's policies and procedures as well as on the securitisation repository business, including any examination or other type of formal assessment required for staff members regarding the conduct of core securitisation services.

The description referred to in point (b) of the first paragraph shall include written evidence of the experience in information technology of at least one staff member responsible for information technology matters.