

## Chapter 3

# Commission Delegated Regulation (EU) 2020/1229

Article 6 Terms and conditions for access  
to details of information

(1) Access to the information referred to in Article 5 shall be granted upon request. The request for access shall include the following information:

- (a) the name of the requesting entity;
- (b) the contact person at the requesting entity;
- (c) the type of requesting entity as referred to in Article 17(1) of Regulation (EU) 2017/2402 that requests access;
- (d) the names of the persons at the requesting entity who will have access to the requested information;
- (e) credentials for secure SSH File Transfer Protocol connection as required by Article 7(2);
- (f) whether the request is an ad hoc or predefined periodic request;
- (g) the identification of the information requested based on any combination of the criteria in paragraph 4;
- (h) any other technical information relevant to the requesting entity's access.

(2) For the purposes of paragraph 1, securitisation repositories shall:

- (a) designate a person or persons responsible for liaising with the entities referred to in Article 17(1) of Regulation (EU) 2017/2402;
- (b) publish on their website the terms and conditions for accessing the information and the instructions for submitting a request for accessing that information;
- (c) provide access only to the information specified in the request for access;

(d) as soon as possible but no later than 30 days following a request to set up access to that information, establish the technical arrangements necessary to enable the entities referred to in Article 17(1) of Regulation (EU) 2017/2402 to submit requests to access that information.

(3) Access to the information referred to in Article 5 shall be granted within the following timeframes:

(a) no later than 19.00.00 UTC of the day to which the report relates for an ad hoc or predefined periodic request for an end-of-day report as referred to in Article 2;

(b) no later than 12.00.00 UTC on the first day following the day of receipt of the request for access where the information concerns a securitisation that has either not yet been priced or has not yet matured or has matured less than one year before the date on which the request was submitted;

(c) no later than three working days following the day of receipt of the request for access where the information concerns a securitisation that has matured more than one year before the date on which the request was submitted;

(d) no later than three working days following the day of receipt of the request for access where the information concerns several securitisations falling under both points (b) and (c).

(4) Securitisation repositories shall grant the entities referred to in Article 17(1) of Regulation (EU) 2017/2402 access to the information referred to in Article 5, based on any combination of the following criteria:

(a) securitisation type: non-ABCP or ABCP;

(b) securitisation structure type: either "M" for Master Trust as reported in field SESS9 of Annex XIV to Delegated Regulation (EU) 2020/1224 or "S" for all other securitisations;

(c) securitisation risk transfer method: either type "Y" for true sale as reported in field IVSS11 in Annex XII to Delegated Regulation (EU) 2020/1224, "Y" for synthetic as reported in field SESV11 in Annex XIV to that Regulation, or "ABCP" for ABCP securitisations;

(d) securitisation item code;

(e) securitisation underlying exposure type;

(f) securitisation underlying exposure section;

- (g) securitisation investor report template section;
- (h) securitisation inside information or significant event information template section;
- (i) identifier:
  - (i) unique identifier;
  - (ii) transaction identifier;
  - (iii) International Securities Identification Number;
  - (iv) new or original tranche/bond identifier;
  - (v) new or original underlying exposure identifier;
  - (vi) new or original obligor identifier;
  - (vii) originator legal entity identifier;
  - (viii) sponsor legal entity identifier;
  - (ix) SSPE legal entity identifier;
  - (x) original lender legal entity identifier;
  - (xi) CLO manager legal entity identifier;
- (j) geography:
  - (i) geographic region;
  - (ii) governing law;
- (k) date and time:
  - (i) submission timestamp;
  - (ii) data cut-off date;

(iii) tranche/bond issue date;

(iv) tranche/bond legal maturity;

(v) underlying exposure origination date;

(vi) underlying exposure maturity date;

(l) currency:

(i) tranche/bond currency;

(ii) underlying exposure currency denomination.

(5) Securitisation repositories shall make the following information available using XML format:

(a) the information referred to in point (a) and points (d) to (g) of Article 7(1) of Regulation (EU) 2017/2402;

(b) the information produced by securitisation repositories in accordance with Articles 2 and 4 of this Regulation, with the exception of written confirmations received under Article 4(3).