

Prospectus Regulation

Chapter 1

Commission Delegated Regulation (EU) 2019/979

ANNEX VII MACHINE-READABLE DATA
TO BE PROVIDED TO ESMA [deleted]

01/01/2021

[deleted]

Table 1

Number	Field	Content to be reported	Format and Standard to be used for reporting
1.	National identifier	Unique identifier of the uploaded record, assigned by the sending NCA	{ALPHANUM-50}
2.	Related national identifier	Unique identifier of the record to which the uploaded record relates, assigned by the sending NCA Not reported in case the related national identifier is not applicable	{ALPHANUM-50}
3.	Sending Member State	Country code of the Member State which approved the uploaded record or with which the uploaded record was filed	{COUNTRYCODE_2}
4.	Receiving Member State(s)	Country code of the Member State(s) to which uploaded record is to be notified or communicated When multiple Member States shall be communicated, field 4 shall be reported as many times as necessary	{COUNTRYCODE_2}
5.	Document type	The type of uploaded document(s)	Choice from list of predefined fields: — "BPFT" — Base prospectus with final terms — "BPWO" — Base prospectus without final terms — "STDA" — Standalone prospectus — "REGN" — Registration document — "URGN" — Universal registration document

			<p>— "SECN" — Securities note</p> <p>— "FTWS" — Final terms, including the summary of the individual issue annexed to them</p> <p>— "SMRY" — Summary</p> <p>— "SUPP" — Supplement</p> <p>— "SUMT" — Translation of summary</p> <p>— "COAP" — Certificate of Approval</p> <p>— "AMND" — Amendment</p> <p>When multiple documents shall be communicated, field [5] shall be reported as many times as necessary to describe each document composing the record</p>
6.	Structure type	The format chosen for the prospectus	<p>Choice from list of predefined fields:</p> <p>— "SNGL" — Single document prospectus</p> <p>— "SPWS" — Prospectus consisting of separate documents with summary</p> <p>— "SPWO" — Prospectus consisting of separate documents without summary</p>
7.	Approval or filing date	The date on which the uploaded record was approved or filed	{DATEFORMAT}
8.	Language	The EU language in which the uploaded record is drafted	{LANGUAGE}
9.	Offeror standardised name	<p>Name and surname of the offeror in case the offeror is a natural person</p> <p>When multiple offerors shall be communicated, field [9] shall be reported as many times as necessary</p>	{ALPHANUM-280}
10.	Guarantor standardised name	<p>Name and surname of the guarantor or in case the guarantor is a natural person</p> <p>When multiple guarantors shall be communicated, field [10] shall be reported as many times as necessary</p>	{ALPHANUM-280}
11.	Issuer LEI	<p>Legal Entity Identifier of the issuer</p> <p>When multiple issuers shall be communicated, field [11] shall be reported as many times as necessary</p>	{LEI}

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12.	Offeror LEI	Legal Entity Identifier of the offeror When multiple offerors shall be communicated, field [12] shall be reported as many times as necessary	{LEI}
13.	Guarantor LEI	Legal Entity Identifier of the guarantor When multiple guarantors shall be communicated, field [13] shall be reported as many times as necessary	{LEI}
14.	Offeror residency	Offeror's residency in case the offeror is a natural person When multiple offerors shall be communicated, field [14] shall be reported as many times as necessary	{COUNTRYCODE_2}
15.	Guarantor residency	Guarantor's residency in case the guarantor is a natural person When multiple guarantors shall be communicated, field [15] shall be reported as many times as necessary	{COUNTRYCODE_2}
16.	FISN	Financial Instrument Short Name of the security This field should be repeated for each ISIN	{FISN}
17.	ISIN	International Securities Identification Number	{ISIN}
18.	CFI	Classification of Financial Instrument code This field should be repeated for each ISIN	{CFI_CODE}
19.	Issuance currency	Code representing the currency in which the nominal or notional value is denominated This field should be repeated for each ISIN	{CURRENCYCODE_3}
20.	Denomination per unit	Nominal value or notional value per unit in the issuance currency This field should be repeated for each ISIN Field applicable to securities with defined denomination	{DECIMAL-18/5}
21.	Identifier or name of the underlying	ISIN code of the underlying security/index or name of the underlying security/index if an ISIN does not exist	For unique underlying: — In case of security or index with existing ISIN: {ISIN}

		When basket of securities, to be identified accordingly Field applicable to securities with defined underlying. This field should be repeated for each ISIN of such securities	— In case the index has no ISIN: {INDEX} — Otherwise: {ALPHANUM-50} For multiple underlyings (more than one): "BSKT"
22.	Maturity or expiry date	Date of maturity or expiry date of the security, when applicable This field should be repeated for each ISIN Field applicable to securities with defined maturity	{DATEFORMAT} For perpetual debt securities field 22 should be populated with the value 9999-12-31.
23.	Volume offered	Number of securities offered Field applicable only to equity This field should be repeated for each applicable ISIN	{INTEGER-18} Either as single value, range of values, maximum
24.	Price offered	Price per security offered, in monetary value. The currency of the price is the issuance currency Field applicable only to equity This field should be repeated for each applicable ISIN	{DECIMAL-18/5} Either as single value, range of values, maximum "PNDG" in case the price offered is not available but pending "NOAP" in case the price offered is not applicable
25.	Consideration offered	Total amount offered, in monetary value of the issuance currency This field should be repeated for each ISIN	{DECIMAL-18/5} Either as single value, range of values, maximum "PNDG" in case the consideration offered is not available but pending "NOAP" in case the consideration offered is not applicable
26.	Type of security	Classification of categories of equity and non-equity securities This field should be repeated for each ISIN	Choice from list of predefined fields: Equity — "SHRS": Share — "UCEF": Unit or share in closed end funds — "CVTS": Convertible security — "DPRS": Depository receipt — "OTHR": Other equity Debt — "DWLD": Debt with denomination per unit of at least EUR 100000 — "DWHD": Debt with denomination per unit of less than EUR 100000 — "DLRM": Debt with denomination per unit of less than EUR 100000 traded on a regulated market to which only qualified investors have access to "ABSE": ABS

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			"DERV": Derivative security
27.	Type of offer/admission	Taxonomy according to PR and MiFID/MIFIR	Choice from list of predefined fields: — "IOWA": Initial offer without admission to trading/listing — "SOWA": Secondary offer without admission to trading/listing — "IRMT": Initial admission to trading on regulated market — "IPTM": Initial admission to trading on regulated market from previously being traded on MTF — "IMTF": Initial admission to trading on MTF with offer to the public — "SIRM": Secondary issuance on a regulated market or MTF
28.	Characteristics of the trading venue where the security is initially admitted to trading	Taxonomy according to PR and MiFID/MIFIR	Choice from list of predefined fields: — "RMKT": RM open to all investors — "RMQI": RM, or segment thereof, limited to qualified investors — "MSGM": MTF which is a SME growth market — "MLTF": MTF which is not a SME growth market
29.	Disclosure regime	The annex number in accordance with which the prospectus is drafted under the Commission Delegated Regulation (EU) [] When multiple annexes shall be communicated, field 29 shall be reported as many times as necessary	{INTEGER-2} From 1 to [29]
30.	EU Growth prospectus category	Reason based on which an EU Growth prospectus has been used	Choice from list of predefined fields: — "S15A": SME under PR Article 15(1)(a) — "I15B": Issuer other than SME under PR Article 15(1)(b) — "I15C": Issuer other than SME under PR Article 15(1)(c) — "O15D": Offeror of securities under PR Article 15(1)(d)

Table 2

Symbol	Data Type	Definition
{ALPHANUM-n}	Up to n alphanumerical characters	Free text field
{CFI_CODE}	6 characters	CFI code, as defined in ISO 10962
{COUNTRYCODE_2}	2 alphanumerical characters	2 letter country code, as defined by ISO 3166-1 alfa-2 country code
{DATEFORMAT}	Dates in the following format: YYYY-MM-DD Dates shall be reported in UTC	ISO 8601 date format
{LANGUAGE}	2 letter code	ISO 639-1
{LEI}	20 alphanumerical characters	Legal entity identifier as defined in ISO 17442
{FISN}	35 alphanumerical characters with the following structure	FISN code, as defined in ISO 18774
{ISIN}	12 alphanumerical characters	ISIN code, as defined in ISO 6166
{CURRENCYCODE_3}	3 alphanumerical characters	3 letter currency code, as defined by ISO 4217 currency codes
{DECIMAL-n/m}	Decimal number of up to n digit in total, of which up to m digits can be fraction digits	Numerical field Decimal separator is "." (full stop) Values are rounded and not truncated
{INTEGER-n}	Integer number of up to n digits in total	Numerical field
{INDEX}	4 alphabetic characters	"EONA" — EONIA "EONS" — EONIA SWAP "EURI" — EURIBOR "EUUS" — EURODOLLAR "EUCH" — EuroSwiss "GCFR" — GCF REPO "ISDA" — ISDAFIX "LIBI" — LIBID "LIBO" — LIBOR "MAAA" — Muni AAA "PFAN" — Pfandbriefe "TIBO" — TIBOR "STBO" — STIBOR "BBSW" — BBSW "JIBA" — JIBAR "BUBO" — BUBOR "CDOR" — CDOR "CIBO" — CIBOR "MOSP" — MOSPRIM "NIBO" — NIBOR "PRBO" — PRIBOR "TLBO" — TELBOR "WIBO" — WIBOR

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"TREA" — Treasury
"SWAP" — SWAP
"FUSW" — Future SWA