Chapter 8

Commission Delegated Regulation (EU) 2017/568



Article 7 Verification of issuer obligations

- (1) Regulated markets shall adopt and publish on their website procedures for verifying compliance by an issuer of a transferable security with its obligations under UK law
- (2) Regulated markets shall ensure that compliance with the obligations referred to in paragraph 1 is checked effectively in accordance with the nature of the obligation under review taking into account the supervisory tasks performed by the competent authority.
- (3) Regulated markets shall ensure that the procedures referred to in paragraph 1 describe:
 - (a) the processes the regulated markets employ to achieve the outcome specified in paragraph 1;
 - (b) how an issuer may best demonstrate compliance with the obligations referred to in paragraph 1 to the regulated market.
- (4) Regulated markets shall ensure that an issuer is made aware of the obligations referred to in paragraph 1 upon admission to trading of that issuer's transferable security and at the issuer's request.