

## Chapter 5

# Commission Delegated Regulation (EU) No 150/2013

Article 3 Ownership of the trade repository

(1) An application for registration as a trade repository shall contain:

(a) a list containing the name of each person or entity who directly or indirectly holds 5 % or more of the applicants capital or of its voting rights or whose holding makes it possible to exercise a significant influence over the applicants management;

(b) a list of any undertakings in which a person referred to in point (a) holds 5 % or more of the capital or voting rights or over whose management they exercise a significant influence.

(2) Where the applicant has a parent undertaking, it shall:

(a) identify the legal address of that parent undertaking;

(b) indicate whether the parent undertaking is authorised or registered and subject to supervision, and when this is the case, state any reference number and the name of the responsible supervisory authority.