

Capital
Requirements
Directive/
Regulation

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Chapter 14

Commission Implementing Regulation (EU) No 1030/2014

Preamble

THE EUROPEAN COMMISSION,
.....

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Regulation (EU) No 575/2013 of the European Parliament and of the Council of 26 June 2013 on prudential requirements for credit institutions and investment firms and amending Regulation (EU) No 648/2012, and in particular the third subparagraph of Article 441(2) thereof,

01/01/2021

Whereas:

(1) In order to help ensure global consistency in disclosure and transparency in the process of identification of global systemically important institutions (G-SIIs), those institutions are required to publicly disclose indicator values used in that process.

(2) The disclosure templates used by institutions identified as G-SIIs in accordance with Article 131 of Directive 2013/36/EU of the European Parliament and of the Council should take into account international standards, particularly those issued by the Basel Committee on Banking Supervision.

(3) In order to ensure consistency and comparability of the collected information, the reporting reference date should be set to coincide with an institution's financial year-end figures of the previous year or any other date agreed with its relevant authority.

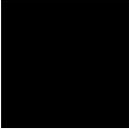
(4) With a view to facilitating public access to the disclosed information, and seeing that data from all Member States are needed to perform the identification process, the European Banking Authority (EBA) should collect each institution's information and publish it on its website.

(5) This Regulation is based on the draft implementing technical standards submitted by the EBA to the Commission.

(6) The EBA has conducted open public consultations on the draft implementing technical standards on which this Regulation is based, analysed the potential related costs and benefits and requested the opinion of the Banking Stakeholder Group established in

accordance with Article 37 of Regulation (EU) No 1093/2010 of the European Parliament
and of the Council,

HAS ADOPTED THIS REGULATION:



Article 1 Uniform format

G-SIIs shall report the information used to identify G-SIIs (indicators, ancillary data and memorandum items) to the relevant authority in electronic format using the template in the Annex to this Regulation, taking into consideration the further specifications of the underlying data and the instructions issued by the relevant authority each year. By using that template and taking into consideration these specifications and instructions, G-SIIs shall publicly disclose the values of the indicators used for determining the score of the institutions in accordance with the identification methodology set out in Regulation (EU) No 1222/2014.

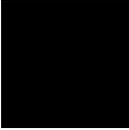
G-SIIs shall not be bound to disclose publicly the ancillary data and memorandum items



Article 2 Date of disclosure

G-SIIs shall publicly disclose the financial year-end information referred to in Article 1 no later than four months after each financial year-end.

The PRA or FCA, as applicable may allow institutions whose financial year-end is 30 June to report indicator values based on their position at 31 December. In any case, the information shall be disclosed no later than 31 July.



Article 3 Disclosure location

Institutions may publicly disclose the values of the indicators specified in the template set out in the Annex to this Regulation in the medium they determine to disclose the information required by Part Eight of Regulation (EU) No 575/2013 in accordance with Article 434 of that Regulation.

Where the disclosures of the values of the indicators are not included in the medium referred to in the first paragraph, the G-SII shall provide a direct reference to the completed disclosures on the institution's website or to the medium in which they are made available.



Article 4

This Regulation shall enter into force on the twentieth day following that of its publication in the *Official Journal of the European Union*.



Signature

01/01/2021

This Regulation shall be binding in its entirety and directly applicable in all Member States.

01/01/2021

Done at Brussels, 29 September 2014.

01/01/2021

For the Commission

01/01/2021

The President

01/01/2021

José Manuel BARROSO



**ANNEX Template For The Identification Of
Global Systemically Important Institutions
(G-SIIs)**

General Bank Data

Section 1: General Information	
a. General information provided by the relevant supervisory authority:	
(1) Country code	
(2) Bank name	
(3) Reporting date (yyyy-mm-dd)	
(4) Reporting currency	
b. General Information provided by the reporting institution:	
(1) Reporting unit	
(2) Accounting Standard	
(3) Date of public disclosure (yyyy-mm-dd)	
(4) Language of public disclosure	
(5) Web address of public disclosure	

Size Indicator

Section 2: Total Exposures	Amount

Interconnectedness Indicators

Section 3: Intra-Financial System Assets	Amount

Section 4: Intra-Financial System Liabilities	Amount

Section 5: Securities Outstanding	Amount

Substitutability/Financial Institution Infrastructure Indicators

Section 6: Payments made in the reporting year (excluding intragroup payments)	Amount

Section 7: Assets Under Custody	Amount

Section 8: Underwritten Transactions in Debt and Equity Markets	Amount

Complexity Indicators

Section 9: Notional Amount of Over-the-Counter (OTC) Derivatives	Amount

Section 10: Trading and Available-for-Sale Securities	Amount

Section 11: Level 3 Assets	Amount

Cross-Jurisdictional Activity Indicators

Section 12: Cross-Jurisdictional Claims	Amount

Section 13: Cross-Jurisdictional Liabilities	Amount

Ancillary data

Section 14: Ancillary Indicators	Amount

Section 15: Ancillary items	Amount