

Chapter 2

Commission Delegated Regulation (EU) 2015/2

ANNEX I PART 1 LIST OF FIELDS FOR
THE QUALITATIVE DATA FILE PART 2
LIST OF FIELDS FOR THE RATING DATA
FILE

PART 1 LIST OF FIELDS FOR THE QUALITATIVE DATA FILE

Table 1 CRA identification and methodology description

No	Field name	Description	Type	Standard	Scope
1	CRA identifier	Code used to identify the reporting credit rating agency. It is provided by the FCA upon registration or certification.	Mandatory.		Technical
2	Reporting CRA Global Legal Entity Identifier (LEI)	LEI code of the credit rating agency sending the file.	Mandatory.	ISO 17442	Public
3	CRA name	Name used to identify the credit rating agency. It shall correspond to the name used by the credit rating agency in the registration process and	Mandatory.		Public

		all other supervisory procedures within the FCA. Where one member of a group of credit rating agencies reports for the whole group it shall be the name referring to the group of credit rating agencies.			
4	CRA Description	Brief description of the credit rating agency.	Mandatory.		Public
5	CRA Methodology	Description of the credit rating agency's rating methodology. The credit rating agency can describe unique features of its rating methodology.	Mandatory.		Public
6	Link to CRA website methodology page	The link to the credit rating agency's web page that contains all information related to the methodologies, and descriptions of models and key rat	Mandatory.	Valid web page reference.	Public

		ing assumptions.			
7	Solicited and unsolicited ratings policies	Description of the credit rating agency's policy on solicited and unsolicited with or without participation ratings. If more than one policy exists, the relevant rating types applicable to each policy shall be specified.	Mandatory.		Public
8	Subsidiary ratings policy	Description of policy regarding the reporting of the rating of subsidiaries.	Mandatory. Applicable for credit rating agencies issuing corporate ratings.		Public
9	Geographical reporting scope	In the case of a credit rating agency part of a group, they should mention whether they report all the ratings issued by the group (global scope) or not (only the UK and endorsed ratings). Where the coverage is not global, the	Mandatory.	Y — yes N — no	Public

		credit rating agency shall explain why not. For all other CRAs it should be reported as "global" ("Y").			
10	Reason for non-global scope	The reason why a credit rating agency which is part of a group, does not report all the ratings of the group.	Mandatory. Applicable when "Geographical reporting scope" = "N"		Public
11	Definition of default	Describes the definition of default used by the credit rating agency.	Mandatory.		Public
12	Website link	Link to the home page of credit rating agency's public website.	Mandatory.	Valid webpage reference.	Public

Table 2 Issuer rating types list

No	Field name	Description	Type	Standard	Scope
1	Issuer rating type identifier	Unique identifier for each issuer rating type that a rated entity can be assessed on.	Mandatory. Applicable if the credit rating agency is issuing issuer ratings.		Technical
2	Issuer rating type name	Name of the issuer	Mandatory.		Technical

		rating category.			
3	Issuer rating type description	Description of the rated debt category.	Mandatory.		Technical
4	Issuer rating type standard	This should distinguish the types of issuer ratings in: the main/global issuer credit rating, the debt rating type (the different categories will be described in Table 2, Part 2, Annex 1) and all other issuer debt ratings.	Mandatory.	IR — Main issuer rating DT — Debt rating OT — Other	Technical

Table 3 Debt categories list

No	Field name	Description	Type	Standard	Scope
1	Rated debt classification identifier	Unique identifier for each debt category used for classifying the corporate and sovereign issuer debt categories or debt issues.	Mandatory. Applicable if the rating agency is rating corporate or sovereign debt categories		Technical
2	Rated debt classification name	Name of the rated debt category.	Mandatory.		Technical
3	Rated debt classification	Description of the	Mandatory.		Technical

	tion descrip tion	rated debt category.			
4	Seniority	Identifies the seniority of the debt class of the rated issuer or issue.	Optional.	SEU — in case the rated issuer debt or the issue belongs to the senior unsecured debt category SEO — in case the rated issuer or the issue belongs to a senior debt category other than SEU SB — in case the issuer debt or the issue belongs to a subordinated debt category.	Technical

Table 4 Issue/programme types list

No	Field name	Descrip tion	Type	Standard	Scope
1	Issue/programme type identifier	Unique identifier for each issue/programme used for classifying the issue ratings.	Mandatory. Applicable if the credit rating agency is rating corporate or sovereign issues.		Technical
2	Issue/programme type name	Issue/programme name.	Mandatory.		Technical
3	Issue/programme type description	Issue/programme description.	Mandatory.		Technical

Table 5 Lead analysts list

This table shall contain a list of all the lead analysts that operate in the UK. If a lead analyst worked in different time periods as a lead analyst (with time gaps in between) then the lead analyst should be reported in the table multiple times: one for each lead analyst appointment period. The start and end date of allocation to the function shall not overlap for the same lead analyst. The table shall contain one line for each lead analyst and distinct function period.

No	Field name	Description	Type	Standard	Scope
1	Lead analyst internal identifier	Internal unique identifiers of the staff member who is appointed in the analyst function by the credit rating agency.	Mandatory.		Supervision only
2	Lead analyst name	Lead analyst full name.	Mandatory.		Supervision only
3	Lead analyst start date	The start date of the staff member in the lead analyst function.	Mandatory.	ISO 8601 date format (YYYY-MM-DD)	Supervision only
4	Lead analyst end date	The end date of the staff member in the lead analyst function. If the staff member is currently working in the lead analyst function, it should be reported as 9999-01-01.	Mandatory.	ISO 8601 date format (YYYY-MM-DD) or 9999-01-01	Supervision only

Table 6 Rating scale

No	Field name	Description	Type	Standard	Scope
1	Rating scale identifier	Identifies uniquely a specific rating scale of the credit rating agency.	Mandatory.		Technical
2	Rating scale validity start date	The date at which the rating scale starts being valid.	Mandatory.	ISO 8601 date format (YYYY-MM-DD)	Public
3	Rating scale validity end date	The last date when a rating scale is valid. For the rating scale that is currently valid, it should be reported as 9999-01-01	Mandatory.	ISO 8601 date format (YYYY-MM-DD) or 9999-01-01	Public
4	Description of the rating scale	Description of the type of ratings included in the scale, including the geographical scope where relevant.	Mandatory.		Public
5	Time horizon	Identifies the applicability of the rating	Mandatory.	L — where the rating scale is applicable	Public

			ing scale based on the time horizon.		able to long-term ratings S — where the rating scale is applicable to short-term ratings	
6	Rating type		Identifies the applicability of the rating scale based on the rating type.	Mandatory.	C — where the rating scale is applicable to corporate ratings S — where the rating scale is applicable to sovereign & public finance ratings T — where the rating scale is applicable to structured finance ratings O — where the rating scale is applicable to other financial instruments	Public
7	Rating scale scope		Specifies if the rating scale is used	Mandatory.	PR — rating scale is used for issu	Public

				for issuing preliminary ratings, final ratings or both.		ing preliminary ratings only FR — rating scale is used for issuing final ratings only BT — rating scale is used for issuing preliminary and final ratings	
8	Rating scale used for central repository			Indicates if the rating is to be used by the FCA for the central repository statistics calculations. For any given period, only one rating scale per combination of rating type and time horizon can be used.	Mandatory.	Y — yes N — no	Technical
9	Categories	Rating category value		Order of the rating category in the rating scale (where 1 is correspondent to the category that represents	Mandatory.	The ordinal is an integer value with minimum value 1 and a maximum value of 20. The de	Public

				the best credit worthiness).		claration of the rating categories values must be consecutive. There must be as a minimum one rating category for each rating.	
10		Rating category label	Identifies a specific rating category within the rating scale.	Mandatory.			Public
11		Rating category description	Definition of the rating category in the rating scale.	Mandatory.			Public
12	Notches	Notch value	Order of the notch in the rating scale (where 1 is correspondent to the notch that represents the best credit worthiness).	Mandatory.	The notch value is an integer with minimum value 1 and a maximum value of 99. Values provided must be consecutive. There must be as a minimum one rating notch		Public

					for each rating.	
13		Notch label	Identifies a specific notch within the rating scale. Notches provide additional detail to the rating category.	Mandatory.		Public
14		Notch description	Description of the notch in the rating scale.	Mandatory.		Public

PART 2 LIST OF FIELDS FOR THE RATING DATA FILE

Table 1 Data describing the rated entity/instrument

This table shall identify and describe all credit ratings issued by the credit rating agency and are to be reported for the scope of this Regulation. This table shall contain one line for each individual credit rating to be reported. Where it applies, for each credit rating line, one or more ‘Originators’ can be reported.

No	Field name	Description	Type	Standard	Scope
1	CRA identifier	Code used to identify the reporting credit rating agency. It is provided by the FCA upon registration or certification.	Mandatory.		Technical
2	Reporting CRA LEI	LEI code of the credit rating	Mandatory.	ISO 17442	Public

		agency sending the file.			
3	Responsible CRA LEI	LEI code of the credit rating agency responsible for the rating, i.e. in case of: a rating issued in the UK, the registered credit rating agency that has issued the rating,	Mandatory.	ISO 17442	Public
4	Issuer CRA LEI	LEI code of the credit rating agency that issued the rating, that is to say in case of: a rating issued in the UK, the registered credit rating agency, a rating issued by a certified credit rating agency, the certified entity, a rating issued in a third	Mandatory.	ISO 17442	Public

		country but not endorsed by a registered credit rating agency, the third country credit rating agency that issued the rating.			
5	Rating identifier	Unique identifier of the rating, which shall be maintained unchanged over time. The rating identifier shall be unique in all reports to the FCA.	Mandatory.		Technical
6	Rating type	Identifies whether the rating is a corporate rating, a sovereign or public finance rating, a structured finance rating or other financial instrument rating. It shall be maintained unchanged	Mandatory.	C — if the rating is applicable to corporate ratings S — if the rating is applicable to sovereign ratings T — if the rating is applicable to structured finance ratings	Public

		over time.		O — if the rating is applicable to other financial instruments.	
7	Other rating type	Describes the type of rated financial instrument that was reported in the "O" rating type.	Mandatory. Applicable for "Rating type" = "O".		Supervision only
8	Rated object	Specifies if the rating refers to an entity/issuer of debt or a debt issuance of a rated entity/financial instrument.	Mandatory.	ISR — the rating refers to an entity or issuer of debt INT — the rating refers to a debt issuance/financial instrument.	Public
9	Time horizon	Identifies whether the rating is a short-term or a long-term rating. It shall be maintained unchanged over time.	Mandatory.	L — if the rating is a long-term rating S — if the rating is a short-term rating.	Public
10	Country	Country code of the rated entity/instrument.	Mandatory.	ISO 3166-1 code. The code "ZZ"	Public

				shall be used to identify the category "international".	
11	Currency	Identifies whether the rating is expressed in respect of local or foreign currency.	Mandatory. Applicable for "Rating type" = "C" or "S"	LC — in case of a local currency rating FC — in case of a foreign currency rating.	Public
12	Legal entity/issuer LEI	LEI code of the legal entity/issuer. It shall be maintained unchanged over time.	Mandatory. Applicable only if the rated entity is eligible for acquiring a LEI code.	ISO 17442	Public
13	Legal entity/issuer national fiscal code	Unique national fiscal code of the rated entity. It shall be maintained unchanged over time.	Optional. If applicable.		Public
14	Legal entity/issuer value added tax (VAT) code	Unique national VAT code of the rated entity. It shall be maintained unchanged over time.	Optional. If applicable.		Public

15	Legal entity/issuer Bank Identified Code (BIC)	Unique BIC of the rated entity. It shall be maintained unchanged over time.	Optional. Applicable only for entities that represent financial institutions ("Industry" = "FI" or "IN").	ISO 9362	Public
16	Legal entity/issuer internal identifier	Unique internal identifier of the issuer. It shall be maintained unchanged over time.	Mandatory.		Supervision only
17	Legal entity/issuer name	It shall contain appropriate understandable reference to the legal name of the legal entity/issuer.	Mandatory.		Public
18	Parent legal entity/Issuer LEI	LEI code of the parent company. To be reported only in case the rated issuer is a subsidiary of another rated entity. It shall be maintained unchanged	Mandatory. Applicable if the rated entity/debt issuer is a subsidiary of another rated entity.	ISO 17442	Public

		over time.			
19	Parent legal entity/issuer internal identifier	Unique internal identifier of the parent entity/issuer. It shall be maintained unchanged over time.	Mandatory. Applicable if the rated entity is a subsidiary of another rated entity.		Supervision only
20	Sub-sovereign Nomenclature of Territorial Units for Statistics (NUTS) code	Identifier of the city/region of the rated municipal/sub-sovereign.	Mandatory. Applicable only for "Country" is part of the UK and for "Rating type" = "S" and "Sector" = "SM"	EUROSTA nomenclature: NUTS 1 to 3	Public
21	ISIN	International Securities Identifying Number (ISIN) of the rated instrument. It shall be maintained unchanged over time.	Mandatory. Applicable for "Rated object" = "INT" and if the rated instruments has an ISIN allocated.	ISO 6166	Public
	[deleted]				
23	Instrument internal identifier	Unique code to identify the financial instrument that is	Mandatory. Applicable for "Rated object" = "INT".		Supervision only

		rated. It shall be maintained unchanged over time.			
24	Issue/programme type	Indicates the issue/programme type of the rating.	Optional. Applicable for "Rating type" = "C" or "S" and "Rated object" = "INT".	Valid "Issue/programme type identifier", previously reported in the "Issue/programme type list".	Public
25	Issuer rating type	Specifies the issuer rating type.	Mandatory. Applicable for "Rating type" = "C" and for "Rated object" = "ISR"	Valid "Issuer rating type identifier", previously reported in the "Issuer rating type list".	Public
26	Debt category	Specifies the debt category for the rated issues or debts.	Mandatory. Applicable for "Rating type" = "C" or "S" and "Rated object" = "ISR" and "Issuer rating type" = "DT" or "Rated object" = "INT" if applies.	Valid "Rated debt classification identifier", previously reported in the "Debt categories list".	Public
27	Issuance date	Specifies the issuance date of the rated instrument	Mandatory. Applicable for "Rated	ISO 8601 date format: (YYYY-	Supervision only

		or debt issuance. It shall be maintained unchanged over time.	object" = "INT".	MM-DD)	
28	Maturity date	The maturity date of the rated instrument or debt issuance.	Mandatory. Applicable for "Rated object" = "INT". If perpetual: 9999-01-01	ISO 8601 date format: (YYYY-MM-DD) or 9999-01-01	Supervision only
29	Outstanding issue volume	The outstanding issue volume at first rating issuance. The amount shall be reported in the currency of the issuance reported in "Outstanding issue volume currency code".	Mandatory. Applicable for "Rated object" = "INT".		Supervision only
30	Outstanding issue volume currency code	The code of the currency of the rated issue.	Mandatory. Applicable for "Rated object" = "INT".	ISO 4217	Supervision only
31	Industry	Categorisation of the rated entity or debt issuances reported under the	Mandatory. Applicable for "Rating type" = "C".	FI — for financial institution rating including banks, brokers	Public

		"corporate" rating type in financial, insurance and non-financial corporates.		and dealers IN — for insurance institution rating CO — for corporate institution rating that is not included in "FI" or "IN"	
32	Sector	Specifies subcategories for sovereign and public finance ratings.	Mandatory. Applicable for "Rating type" = "S".	SV — for State rating SM — for regional or local authority rating IF — for international financial institution rating SO — for supra national organisations rating other than "IF" PE — for public entities rating.	Public
33	Asset class	Defines the main asset classes for structured finance ratings.	Mandatory. Applicable for "Rating type" = "T".	ABS — for ABS rating RMBS — for RMBS rating CMBS — for CMBS rating	Public

					CDO — for CDO rating ABCP — for ABCP rating OTH — for other.	
34	Sub-asset	Defines the sub-asset classes for the structured finance ratings.	Mandatory. Applicable for "Rating type" = "T".	CCS — if ABS: credit card receivable backed securities ALB — if ABS: auto loan backed securities CNS — if ABS: consumer loan backed security SME — if ABS: small and medium-sized enterprises loan backed securities LES — if ABS: leases to individual or business backed security HEL — if RMBS: home equity loans PRR — if RMBS:	Public	

					<p>prime RMBS, NPR — if RMBS: non-prime RMBS CFH — if CDO: cash flow and hybrid CDOs/ CLOs SDO — if CDO: synthetic CDOs/ CLOs MVO — if CDO: market value CDOs SIV — if OTH: structured investment vehicles ILS — if OTH: insurance-linked securities DPC — if OTH: derivative product companies SCB — if OTH: structured covered bonds OTH — other.</p>	
35	Other sub-asset class	Indicates the other asset or sub-asset class category.	Mandatory. Applicable for "Rating-type" = "T"		Supervision only	

			and "Sub- asset" = "OTH".		
36	Corporate issues classifications	Classification of covered bonds.	Mandatory. Applicable for "Rating type" = "C" and "Rated object" = "INT".	BND — bonds CBR — CRR covered bonds as defined in point 128A of Article 4(1) of Regulation (EU) No 575/2013 that meet the eligibility requirements set out in Article 129 of that Regulation OCB — other types of covered bonds, for which the credit rating agency has used specific covered bonds methodologies, models or key rating assumptions for issuing the credit rating and which are not included in point (b)	Public

					of Article 5(2) of this Regulation — OTH — other types of corporate issues which are not included in points (a), (b) and (c) of Article 5(2) of this Regulation..	
37	Other corporate issues	Describes the type of issue reported under the "other" category of corporate issues.	Mandatory. Applicable for "Corporate issues classifications" = "OTH".			Supervision only
38	Tranche class	Class of the tranche.	Mandatory. Applicable for "Rating type" = "T".			Public
39	Series No/programme ID	In case the issue is part of a series of multiple issues under the same programme, it shall specify the issue's specific series	Optional. Applicable for "Rating type" = "T" or "Rating type" = "C" and "Rated object" = "INT".			Public

			number. Programme ID could be added, where this exists, to complement the "Programme/de issuance name".			
40	Programme/deal/issuance name		Specifies the programme/de issuance name used in the public issuance documents	Optional. Applicable for "Rated object" = "INT".		Public
41	Originators	Originator or internal identifier	Unique internal code as signed by the credit rating agency to the originator.	Mandatory. Applicable for "Rating type" = "T". In case of multiple originators that can not be individually identified, "MULTIPLE" should be reported.		Supervision only
42		Originator or LEI	LEI code of the originator.	Mandatory. Applicable for "Rating type" = "T" and "Originator Internal Identifier"	ISO 17442	Supervision only

				is not "MULTIPLE".		
43		Originator BIC code	Unique BIC of the originator.	Optional. Applicable for "Rating type" = "T" and "Originator Internal Identifier" is not "MULTIPLE".	ISO 9362	Supervision only
44		Originator name	It shall contain appropriate understandable reference to the legal name of the originator (or the parent company of the issuer).	Mandatory. Applicable for "Rating type" = "T" and "Originator internal identifier" is not "MULTIPLE".		Supervision only
45	Preceding preliminary rating		For all the new ratings it specifies if the credit rating agency is sued a preliminary rating or initial review before issuing the final rating.	Mandatory. Applicable for "Action type" = "NEW" in Table 2 of Part 2	Y — yes N — no	Supervision only
46	Preceding preliminary rating identifier		Indicates the rating identifier of the pre	Mandatory. Applicable for "Preced		Supervision only

			ceding is sued pre liminary rating or initial re view. The "Pre ceding prelimin ary rat ing identi fier" should corres pond to an already reported valid pre liminary rating "Rating identifi er".	ing pre liminary rating" = "Y"		
47	Complexity indicator	Indicates the com plexity grade as signed to a struc tured fin ance rat ing con sidering factors such as the num ber of ori ginators, counter parties, coun tries, the need to develop new methodo logies or new in novative features, credit en hance ments, underly ing docu menta tion, com	Mandat ory. Applic able for "Rating type" = "T".	S — standard complex ity C —addi tional complex ity	Supervi sion only	

		plex col lateral, different or new jurisdic tions and/or ex istence of deriv ative compon ents amongst other factors that may be con sidered relevant by the CRA when it is assess ing the complex ity of a rating ser vice.			
48	Structured finance transaction type	Indica tion of whether the instru ment refers to a stand-alone or mas ter-trust.	Mandat ory. Applic able for "Rating type" = "T".	S — stand-alone transac tion M — master trust transac tion	Supervi sion only
49	Type of rating for the public rating database	Identi fies the credit rat ings that fall un der the scope of the pub lic rat ing data base, based on the re quire ments set out in Art icle 11a of the Regula	Mandat ory.	NXI — the rating is not ex clusively produced for and disclosed to in vestors for a fee EXI — the rating is ex clus ively pro duced for and dis closed to investors for a fee	Technic al

		tion (EC) No 1060/2009.			
50	Relevant for central repository statistics calculation	Indicates if the rating shall be used for central repository statistics calculation.	Mandatory.	Y — yes N — no	Technical

Table 2 Data about the individual credit rating actions

This table contains all the rating actions that are issued in relation to the credit ratings reported in Table 1. Where the press releases or the sovereign research reports are issued in multiple languages, multiple versions of the press releases or the sovereign research reports can be reported for the same rating action.

No	Field name	Description	Type	Standard	Scope
1	Rating action identifier	Unique identifier of the rating action. Rating action identifier shall be unique per each reported rating.	Mandatory.		Technical
2	Rating identifier	Unique identifier of the rating.	Mandatory.	Should be a valid "Rating identifier" reported in Table 1 of Part 2	Technical
3	Action validity date and time	The date and time of validity of the action. This shall coincide with the Coordinated Universal	Mandatory.	ISO 8601 extended date time format: YYYY-MM-DD (HH:MM:SS)	Public

		Time (UTC) of publication of the action or distribution by subscription.			
4	Action communication date and time	The date and time of communication of the action to the rated entity. It shall be expressed as Coordinated Universal Time (UTC). Should be reported only for the ratings issued in the UK.	Mandatory. Applicable for "Location of the rating issuance" = "I".	ISO 8601 extended date time format: YYYY-MM-DD (HH:MM:SS)	Supervision only
5	Action decision date	Identifies the date when the action is decided. It shall be the date of preliminary approval (such as by the rating committee) of the action where this is then communicated.	Mandatory. Applicable for "Location of the rating issuance" = "I".	ISO 8601 date format: (YYYY-MM-DD)	Supervision only

		ated to the rated entity before final approval. Should be reported only for the ratings issued in the UK.			
6	Action type	Identifies the type of action carried out by the credit rating agency with respect to a specific rating.	Mandatory.	OR — in case of outstanding rating (only for first time reporting) PR — in case of preliminary rating NW — in case the rating is issued for the first time UP — in case the rating is upgraded DG — in case the rating is downgraded AF — in case the rating is affirmed DF — in case a rated issuer or instrument is assigned to or removed from a default status and the	Public

					default is not linked with an other rating action SP — in case the rating is suspended WD — in case the rating is with drawn OT — in case the rating is placed to or removed from the out look/trend status WR — in case the rating is placed to or removed from the watch/ review status	
7	Outlook/watch/default status	An outlook/watch suspension/default status is assigned, kept or removed with respect to the rating,	Mandatory. Applicable for "Action type" = "OT","WR", "DF", "SP" or "OR"	P — status is placed M — status is maintained R — status is removed	Public	
8	Outlook	Identifies the outlook/trend assigned to a rating by	Mandatory. Applicable for "Action type" =	POS — in case of a positive outlook NEG — in case	Public	

		the CRA according to its relevant policy.	"OT" and "OR"	of a negative outlook EVO — in case of an evolving or developing outlook STA — in case of a stable outlook	
9	Watch/Review	Identifies the watch or review status assigned to a rating by the CRA according to its relevant policy.	Mandatory. Applicable for "Action type" = "WR" and "OR"	POW — in case of a positive watch/review NEW — in case of a negative watch/review EVW — in case of an evolving or developing watch/review UNW — in case of a watch/review with un certain direction	Public
10	Watch/Review determinant	Identifies the reason for the watch/review status of a rating. Should be reported only for the ratings issued in the UK.	Mandatory. Applicable for "Action type" = "WR" and "OR" and "Location of the rating issuance" = "I".	1 — where the watch/review status is due to changes in methodologies, models or key rating assumptions	Public

					2 — where the watch/ review status is due to econom ic, finan cial or credit reasons	
					3 — where the watch or review status is due to other reasons (e.g. de parture of ana lysts, oc currence of con flicts of interests)	
11	Withdrawal reason	Identifies the reason of a withdrawal action.	Mandatory. Applicable for "Action Type" = "WD"	1 — in case of incor rect or in suffi cient in forma tion on is suer/is sue 2 — in case of bank ruptcy of the rated entity or debt re structur ing 3 — in case of reor ganisa tion of rated en tity (in cluding the mer ger or ac	Public	

					<p>quisition of the rated entity)</p> <p>4 — in case of the end of maturity of the debt obligation, or in case the debt is redeemed, called, prefunded, cancelled</p> <p>5 — in case of automatic invalidity of rating due to business model of CRA (such as expiry of ratings valid for a predetermined period)</p> <p>6 — in case of rating with drawal due to other reasons</p> <p>7 — in case the rating is affected by one of the points specified in Annex I, Section B, Point 3 of the Regulation</p>	
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				(EC) No 1060/2009 8 — in case of client's request	
12	Other withdrawal reason	Where the rating was withdrawn due to other reasons than the ones provided, please specify the reason	Mandatory. Applicable for "Withdrawal reason" = 6		Supervision only
13	Default flag	Where the rated entity or the financial instrument is defaulted or removed from default as a result of another rating action (i.e.: upgrade, downgrade)	Mandatory. Applicable for "Action type" = "AF", "DG", "UP" or "OR"	Y — yes N — no	Public
14	Suspension reason	Specifies the reason for a suspension action.	Mandatory. Applicable for "Action type" = "SP"		Public
15	Rating scale identifier	Identifies the rating scale used for issuing the rating action.	Mandatory. Applicable for "Action type" = "NW" or "UP" or "AF" or	Valid "Rating scale identifier", previously reported in the "Rating	Public

			"DG" or "PR" or "OR"	scale" table.	
16	Rating value	Notch value as assigned by the credit rating agency as a result of the rating action.	Mandatory. Applicable for "Action type" = "NW" or "UP" or "AF" or "DG" or "PR" or "OR"	Valid "Notch value", previously reported in the "Rating scale" table.	Public
17	Location of the rating issuance	Specifies the location of the issuance of the credit ratings by: ratings is issued in the UK by a registered credit rating agency, ratings is issued by third country credit rating agency belonging to the same group of credit rating agencies and endorsed in the UK, ratings is issued by certified credit rating agencies or ratings is issued by third	Mandatory.	I — is issued in the UK E — endorsed T — is issued in a third country by a certified CRA O — other (not endorsed) N — not available (only valid before 1.1.2011).	Public

		country credit rating agency belonging to the same group of credit rating agencies but not endorsed in the UK.			
18	Lead analyst identifier	Unique identifier of the lead analyst responsible for the rating. Should be reported only for the ratings issued in the UK.	Mandatory. Applicable for "Location of the rating issuance" = "I".	Valid "Lead analyst internal identifier", previously reported in the "Lead analysts list".	Supervision only
19	Country of the lead analyst	Identifies the country of the office where the responsible lead analyst was located when issuing the rating.	Mandatory. Applicable for "Location of the rating issuance" = "I".	ISO 3166-1 code.	Supervision only
20	Solicitation status	Solicitation status of the rated entity/instrument.	Mandatory.	S — if the rating is solicited, U — if the rating is unsolicited without participation	Public

					P — if the rating is unsolicited with participation.	
21	Press release	Press release	Specifies if the rating action was accompanied by a press release.	Mandatory. Applicable for "Type of rating for ERP" = "NXI".	Y — yes N — no.	Public
22		Press release language	Indicates the language in which the press release was issued.	Mandatory. Applicable for "Press release" = "Y".	ISO 639-1	Public
23		Press release file name	Indicates the file name under which the press release was reported.	Mandatory. Applicable for "Press release" = "Y".	FCA standard	Public
24		Link to press release	Where the rating action is accompanied by the same press release as another rating action, it should state the "Action identifier" for the action for which the common	Mandatory. Applicable for press releases that relate to more than one rating action.	Valid "Action identifier"	Technical

			press re lease was firstly submit ted.			
25	Research report	Research report	Specifies if the rat ing ac tion was accom panied by a re search re port. Ap plicable only for sover eign rat ings re ported un der the sector: "SV" or "SM" or "IF"	Mandat ory. Applic able for "Rating type" = "S" and "Sector" = "SV" or "SM" or "IF"	Y — yes N — no	Public
26		Research report language	Indicates the lan guage un der which the re search re port was issued.	Mandat ory. Applic able for "Sover eign Re search Report" = "Y"	ISO 639-1	Public
27		Research report file name	Indicates the file name un der which the re search re port was reported.	Mandat ory. Applic able for "Sover eign Re search Report" = "Y"	FCA standard	Public
28		Link to research report	Where the rating is accom panied by the same re search re port as an other rat ing ac tion, it should	Optional.	Valid "Action identifi er"	Technic al

				state the "Action identifier" for the action for which the common research report was firstly submitted.			
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