Credit Ratings Agencies Regulation

Chapter 4

Commission Delegated Regulation (EU) No 449/2012

Article 10 Organisational chart

A credit rating agency shall provide the FCA with an organisational chart detailing its organisational structure, including a clear identification of significant roles and the identity of the person responsible for each significant role. Significant roles shall include at least senior management, persons who direct the activities of the branches and senior rating analysts. Where the credit rating agency conducts ancillary services, the organisational chart shall also detail its organisational structure in respect of those services.