

Chapter 4

Commission Delegated Regulation (EU) No 449/2012

ANNEX V INFORMATION TO BE
PRESENTED WITH REGARD TO
CORPORATE GOVERNANCE (Article 12)

(1) Identification of the members of the board and other committees as established in Article 12(3):

Identification of the member	Body (board, audit committee, remunerations committee, etc.) and position (Chair, vice-chair, member)	Body of other undertakings where the person is a member and position
...	...	
...	...	
...	...	

(2) Identification of the independent members of the board as established in Article 12(3) and justification of their independence if they are independent members and of their in-depth knowledge and experience at a senior level of the market in structured finance instruments, where the credit agency applies to issue credit ratings of structured finance products, according to Section A(2) of Annex I to Regulation (EC) No 1060/2009:

Identification of the member	Body (board)	Independent member (YES/NO) and if YES, provide justification	Experience in structured finance instruments (YES/NO) and if YES, provide justification
...	...		
...	...		
...	...		

(3) A credit rating agency shall provide to the FCA a copy of the following documents as established in Article 12(4):

- (a) the last three sets of minutes of the meetings of the board;

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| | <p>(b) the most recent minutes of the meetings of any other committees, such as the remuneration or strategy committees; and</p> <p>(c) the last three opinions or reports presented to the board by the independent members.</p> |
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