

PERIODIC FEES (2022/2023) AND OTHER FEES INSTRUMENT 2022**Powers exercised**

- A. The Financial Conduct Authority (“the FCA”) makes this instrument in the exercise of the powers and related provisions in or under the following:
- (1) the Financial Services and Markets Act 2000 (“the Act”):
 - (a) section 73A (Part 6 Rules);
 - (b) section 137A (The FCA’s general rules);
 - (c) section 137SA (Rules to recover expenses relating to the single financial guidance body);
 - (d) section 137SB (Rules to recover debt advice expenses incurred by the devolved authorities);
 - (e) section 137T (General supplementary powers);
 - (f) section 139A (Power of the FCA to give guidance);
 - (g) section 234 (Industry funding);
 - (h) section 333T (Funding of action against illegal money lending); and
 - (i) paragraph 23 (Fees) in Part 3 (Penalties and Fees) of Schedule 1ZA (The Financial Conduct Authority);
 - (2) regulation 118 (Costs of supervision) of the Payment Services Regulations 2017 (SI 2017/752);
 - (3) regulation 59 (Costs of supervision) of the Electronic Money Regulations 2011 (SI 2011/99);
 - (4) paragraph 12K (Power to charge fees) of Part 1A of Schedule 3 and paragraph 35 (Power to charge fees) of Part 3 of Schedule 3 to the Electronic Money, Payment Services and Payment Systems (Amendment and Transitional Provisions) (EU Exit) Regulations 2018 (SI 2018/1201);
 - (5) regulation 46 of and paragraph 5 of Schedule 1 (Fees) to the Regulated Covered Bond Regulations 2008 (SI 2008/346);
 - (6) article 25 (Application of provisions of the Act to the FCA in respect of its supervision of consumer buy-to-let mortgage firms) of the Mortgage Credit Directive Order 2015 (SI 2015/910);
 - (7) regulation 40 (FCA: penalties, fees and exemption from liability in damages) of the Data Reporting Services Regulations 2017 (SI 2017/699);
 - (8) regulation 26 (FCA: penalties, fees and exemption from liability in damages) of the Financial Services and Markets Act 2000 (Benchmarks) Regulations 2018 (SI 2018/135);
 - (9) paragraph 11 (Penalties and fees) of Schedule 1 and paragraph 4(7) of

Schedule 2 to the Securitisation Regulations 2018 (SI 2018/1288);

- (10) regulation 102 (Costs of supervision) of the Money Laundering, Terrorist Financing and Transfer of Funds (Information on the Payer) Regulations 2017 (SI 2017/692);
 - (11) regulation 27 (Costs of supervision) of the Oversight of Professional Body Anti-Money Laundering and Counter Terrorist Financing Supervision Regulations 2017 (SI 2017/1301);
 - (12) regulations 206 (Meaning of "qualifying functions" in this Part) and 208 (Fees: Financial Conduct Authority) of the Financial Services and Markets Act 2000 (Amendment) (EU Exit) Regulations 2019 (SI 2019/632); and
 - (13) regulation 63 (power to charge fees) of the EEA Passport Rights (Amendment, etc. and Transitional Provisions) (EU Exit) Regulations 2018 (SI 2018/1149).
- B. The rule-making powers listed above are specified for the purpose of section 138G (Rule-making instruments) of the Act.
- C. As required by section 137SA(5) of the Act, the Secretary of State has consented to rules made under that section and, as required by section 137SB(5) of the Act, the Treasury has consented to rules made under that section.

Commencement

- D. This instrument comes into force on 1 July 2022.

Amendments to the Handbook

- E. The Fees manual (FEES) is amended in accordance with the Annex to this instrument.

Notes

- F. In the Annex to this instrument, the "notes" (indicated by "*Editor's Note*:", "Note:" or "**Note**") are included for the convenience of readers but do not form part of the legislative text.

Citation

- G. This instrument may be cited as the Periodic Fees (2022/2023) and Other Fees Instrument 2022.

By order of the Board
23 June 2022

Annex

Amendments to the Fees manual (FEES)

In this Annex, underlining indicates new text and striking through indicates deleted text.

3 Application, Notification and Vetting Fees

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3.2 Obligation to pay fees

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3.2.7 R Table of application, notification, vetting and other fees payable to the FCA

Part 1A: Application, notification and vetting fees		
(1) Fee payer	(2) Fee payable (£) by reference to the pricing category in <i>FEES 3 Annex 1AR</i> .	Due date
...		
<p>(da) <i>Persons</i> making an application or notification in relation to applications set out in <i>FEES 3 Annex 2R</i>:</p> <p>(i) <i>authorisation order</i> for or recognition under section 272 of the <i>Act</i> of a <i>collective investment scheme</i>;</p> <p>(ii) application for the authorisation of an <i>AIF</i> as an <i>LTIF</i>;</p> <p>(iii) the <i>management company</i> of a scheme making a notification under section 264 of the <i>Act</i>;</p> <p>(iv) a <i>non UK AIFM</i> (with a <i>branch</i> in the <i>UK</i>) notifying the <i>FCA</i></p>	Category applicable to the application or notification set out in <i>FEES 3 Annex 2R</i>	On or before the date the application or notification is made

<p>of its intention to market an <i>AIF</i> in the <i>UK</i> under regulation 59 of the <i>AIFMD UK regulation</i>;</p> <p>(v) an <i>AIFM</i> notifying the <i>FCA</i> of its intention to market an <i>AIF</i> in the <i>UK</i> under regulation 58 or 59 of the <i>AIFMD UK regulation</i>; <u>and</u></p> <p>(vi) an applicant for registration on the register of <i>small registered UK AIFM</i>.</p>		
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3 Annex 2R Application and notification fees payable in relation to collective investment schemes, LTIFs, money market funds and AIFs marketed in the UK

Legislative provision	Nature and purpose of fee	Payable by	Applicable pricing category in FEES 3 Annex 1AR	Umbrella factor (note 1)
Part 1 [deleted]				
...				
Part 2 Application fees payable for firms to be subject to <i>COLL</i>				
...				
Part 2A Application fees payable for firms applying for a UK AIF to be authorised under the <i>ELTIF</i> regulation article 5 of the <i>LTIF</i> regulation				
Article 5 of the <i>ELTIF LTIF</i> regulation	On application for an <i>AIF</i> to be authorised under the <i>ELTIF</i> <i>LTIF</i> regulation	An applicant	5	2

Part 2B Application fees payable for UK or non-EEA firms applying for authorisation under article 5 of the Money Market Funds Regulation				
...				
Part 3 (notifications) [deleted]				
Section 264 of the Act	On giving notice under section 264 of the Act	The operator	3	2
Part 4 (Alternative Investment Funds: fees payable for making a notification to the FCA to market an AIF)				
...				

...

3 Annex 13R Fees payable for registration as a credit rating agency, trade repository or securitisation repository

Application type	Amount payable (£) <u>Applicable pricing category in FEES 3 Annex 1AR</u>
<i>Credit rating agency</i>	5,000 <u>5</u>
<i>Trade repository</i>	5,000 <u>5</u>
Third country <i>firm</i> seeking certification as a <i>credit rating agency</i>	1,500 <u>4</u>
Third country <i>firm</i> seeking recognition of a <i>trade repository</i>	1,500 <u>4</u>
<i>Securitisation repository</i>	5,000 <u>5</u>

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3 Annex 15R FCA Transaction Fees

Application type	Pricing category in FEES 3 Annex 1AR	Due date
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...	...		
5	Validation Orders		
	...		
6	<p>Applications in respect of controlled functions under the Senior Managers and Certification Regime (SMCR) and applications by principal firms in respect of controlled functions for appointed representatives (CF(AR)), using the <i>Long Form A</i>, other than where the applicant is a <i>credit union</i>,</p> <ul style="list-style-type: none"> • <u>in respect of controlled functions under the Senior Managers and Certification Regime (SMCR); or</u> • <u>by <i>principal firms</i> in respect of <i>controlled functions</i> for <i>appointed representatives</i> (CF(AR)), using the <i>Long Form A</i>,</u> <p>provided the application [i.e none of the above] does not form part of an application for <i>authorisation</i>, variation of permission or registration of an <i>appointed representative</i>:-</p>	1	On or before the date the application is made

4 Periodic fees

4 Annex 1AR FCA activity groups, tariff bases and valuation dates

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Part 2

This table sets out the activity groups (fee blocks) in relation to (i) the minimum fees payable to the *FCA* and (ii) the prudential fee payable to the *FCA*.

Activity group	Fee payer falls into the fee-block if
A.0 <i>FCA</i> minimum fee	<p>(1) it is in at least one of the fee blocks under Part 1; and</p> <p>(2) it is not:</p> <p>(a) a <i>UK ISPV</i>; or</p> <p>(b) a <i>firm</i> whose only <i>permission</i> is <i>operating a dormant fund account</i>; or</p> <p>(c) a <i>firm</i> exclusively carrying on <i>credit-related regulated activities</i>; or</p> <p><u>(d) a funeral plan intermediary or provider in fee-block A.23.</u></p>
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**4 Annex FCA Fee rates for the period from 1 April 2021 2022 to 31 March 2022 2023
2AR**

Part 1

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Activity group	Fee payable	
A.1	Band width (£million of Modified Eligible Liabilities (MELs))	Fee (£/£m or part £m of MELs)
		General Periodic fee
	>10 – 140	44.5772 <u>14.526</u>
	>140 – 630	44.5772 <u>14.526</u>
	>630 - 1,580	44.5772 <u>14.526</u>
	>1,580 - 13,400	48.222 <u>18.158</u>

	>13,400	24.052 <u>23.968</u>
	The tariff rates in A.1 are not relevant for the <i>permissions</i> relating to <i>operating a dormant account fund</i> . Instead, a flat fee of £6,367 <u>£6,762</u> is payable in respect of these <i>permissions</i> .	
A.2	Band width (No. of mortgages and/or home finance transactions)	Fee (£/mortgage)
	>50	2.816 <u>2.877</u>
A.3	Gross written premium for fees purposes (GWP)	Periodic fee
	Band Width (£million of GWP)	Fee (£/m or part £m of GWP)
	>0.5	365.89 plus, where neither the <i>firm</i> , nor a member of its group, was a defendant in <i>Financial Conduct Authority v Arch Insurance (UK) Ltd and others [2020] EWCA Comm 2448, 110.63 <u>351.76</u></i>
	PLUS	
	Best estimate liabilities for fees purposes (BEL)	General Periodic fee
	Band Width (£million of BEL)	Fee (£/£m or part £m of BEL)
	>1	18.9 plus, where neither the <i>firm</i> , nor a member of its <i>group</i> , was a defendant in <i>Financial Conduct Authority v Arch Insurance (UK) Ltd and others [2020] EWCA Comm 2448, 6.15 <u>19.17</u></i>
	For <i>UK ISPVs</i> the tariff rates are not relevant and a flat fee of £515 <u>£547.00</u> is payable in respect of each <i>FCA</i> financial year (the 12 <i>months</i> ending 31 March).	

A.4	Gross written premium for fees purposes (GWP)	General Periodic fee
	Band Width (£million of GWP)	Fee (£/£m or part £m of GWP)
	>1	224.27 <u>220.78</u>
	PLUS	
	Best estimate liabilities for fees purposes (BEL)	General Periodic fee
	Band Width (£million of BEL)	Fee (£/£m or part £m of BEL)
	>1	12.89 <u>12.55</u>
A.5	Band Width (£million of Active Capacity (AC))	Fee (£/£m or part £m of AC)
	>50	6.90 plus, where neither the firm, nor a member of its group, was a defendant in Financial Conduct Authority v Arch Insurance (UK) Ltd and others [2020] EWCA Comm 2448, 2.15 <u>6.20</u>
A.6	Flat fee (£)	373,766.69 <u>372,052.78</u>
A.7	For class 1(C), (2), (3) and (4) firms:	
	Band Width (£million of Funds under Management (FuM))	Fee (£/£m or part £m of FuM)
	>10	4.55 <u>4.353</u>
	For class 1(B) firms: the fee calculated as for class 1(C) firms above, less 15%. For class 1(A) firms: the fee calculated as for class 1(C) firms above, less 50%.	
A.9	Band Width (£million of Gross Income (GI))	Fee (£/£m or part £m of GI)
	>1	973.26 <u>937.33</u>

A.10	Band Width (No. of traders)	Fee (£/person)
	>1	6,697.26 <u>6,917.74</u>
	For <i>firms</i> carrying on <i>auction regulation bidding</i> , the fee in A.10 is calculated as above less 20% for each trader that carries on <i>auction regulation bidding</i> but not <i>MiFID business bidding</i> or <i>dealing in investments as principal</i> .	
A.13	Band Width (£ thousands of annual income (AI))	Fee (£/£ thousand or part £ thousand of AI)
	>100	2.443 <u>2.494</u>
A.14	Band Width (£ thousands of annual income (AI))	Fee (£/£ thousand or part £ thousand of AI)
	>100	1.548 <u>1.414</u>
A.18	Band Width (£ thousands of Annual Income (AI))	Fee (£/£ thousand or part £ thousand of AI)
	>100	11.337 <u>10.072</u>
A.19	Band Width (£ thousands of Annual Income (AI))	Fee (£/£ thousand or part £ thousand of AI)
	>100	1.662 <u>1.693</u>
A.21	<i>Client money</i>	
	Band Width (£ <i>client money</i>) (CM) held	Fee (£/£ millions or part £ million of CM)
	less than £1 million	102.80 <u>108.70</u>
	an amount equal to or greater than £1 million but less than or equal to £1 billion	77.10 <u>81.53</u>
	more than £1 billion	51.40 <u>54.35</u>
	PLUS	
	<i>Safe custody assets</i>	

	Band Width (£ <i>safe custody assets</i>) (CA) held	Fee (£/£ millions or part £ million of CA)
	less than £10 million	0.4460 <u>0.374</u>
	an amount equal to or greater than £10 million and less than or equal to £100 billion	0.3345 <u>0.2805</u>
	more than £100 billion	0.2230 <u>0.187</u>
A.22	Band Width (No. of <i>appointed representatives</i>)	Fee (£/ <i>appointed representative</i>)
	>0	250 <u>266.00</u> for <i>appointed representatives</i> other than <i>introducer appointed representatives</i>
	>0	75 <u>80.00</u> for <i>introducer appointed representatives</i>
...		
B. Service Companies	Band Width	Fee (£)
	Annual income up to and including £100,000	1,132 <u>1,202.00</u>
	PLUS:	
	Band width	Fee (£/£thousand or part £ thousand of income)
	Annual income over £100,000	1.19 <u>0.80</u>
B. Regulated benchmark administrators	Band width	Fee (£)
	Annual income up to and including £100,000	1,151 <u>1,222.00</u>

	PLUS:	
	Band width	Fee (£/£ thousand or part £ thousand of income)
	Annual income over 100,000	2.00 <u>1.46</u>
B. Recognised investment exchanges	Band width	Fee (£)
	Annual income up to and including £10,000,000	109,304 <u>116,081.00</u>
	PLUS:	
	Band width	Fee (£/£ thousand or part £ thousand of income)
	Annual income over £10,000,000	3.44 <u>2.63</u>
B. Recognised auction platforms	58,266 <u>61,878.00</u>	
B. Recognised overseas investment exchanges	62,424 <u>66,294.00</u>	
B. MTF and OTF operators	Band width	Fee (£)
	Annual income up to and including £100,000	1,151 <u>1,222.00</u>
	PLUS:	
	Band width	Fee (£/£ thousand or part £ thousand of income)
	Annual income over £100,000	2.32 <u>2.27</u>
CC1. Credit-related regulated activities with	Band Width (£ thousands of annual income (AI))	Fee (£)

limited permission	0 - 10	500 <u>350</u>
	>10 - 100	800 <u>700</u>
	>100	1,100 <u>1,000</u>
	PLUS:	
		Fee (£/£ thousand or part £ thousand of AI)
	>250	0.50 <u>0.534</u>
CC2. Credit-related regulated activities	Band Width (£ thousands of annual income (AI))	Fee (£/£ thousand or part £ thousand of AI)
	0 - 50	1,500 <u>1,000</u>
	>50 <u>-100</u>	1,750 <u>1,250</u>
	<u>>100</u>	<u>1,500</u>
	PLUS:	
		Fee (£/£ thousand or part £ thousand of AI)
	>250	1.40 <u>1.495</u>
	...	
CMC.	Band width (£ thousands of annual turnover)	Fee (£)
	0-50	500 <u>531.00</u>
	50-100	1,000 <u>1,062.00</u>
	>100	3.35 <u>8.52</u> per £ thousand or part per £ thousand
Part 2		
...		

Part 2(a) tariff rates (minimum fees) payable to the FCA by FCA- authorised persons			
A.0	(1)	£1,750 <u>£1,500</u> unless it is a <i>community finance organisation</i> with a tariff base of:	
		(a)	up to and including 3 mortgages and/or <i>home finance transactions</i> , in which case a minimum fee of £176 <u>£187</u> is payable; or
		(b)	more than 3 but no more than 10 mortgages and/or <i>home finance transactions</i> , in which case a minimum fee of £597 <u>£634</u> is payable; or
		(c)	more than 10 but no more than 50 mortgages and/or <i>home finance transactions</i> , in which case a minimum fee of £1,106 <u>£1,175</u> is payable.
	...		
AP.0	Periodic fees payable under fee blocks A.2, A.7 to A.19, A.21, A23 and CC.2 in Part 1 multiplied by rate £0.1055 <u>£0.10193</u>		

Part 2(b) tariff rates (minimum fees) payable to the FCA by PRA- authorised persons				<u>Fee</u>
A.0	(1)	£574 unless ÷	Any PRA- authorised person except as set out in (2) and (3)	<u>£750</u>
		(a)	It is a <i>credit union</i> that meets the conditions in (2), in which case the minimum fee payable is as set out in (2); [deleted]	
		(b)	it is a <i>non-directive friendly society</i> that falls into the A.3 activity group but not the A.4 activity group and has, for that activity, 0.5 million or less in gross written premium for fees purposes and holds best estimate liabilities for fees purposes of 1.0 million or less, in which case the minimum fee payable is £247; or [deleted]	
		(c)	it is a <i>non-directive friendly society</i> that falls into the A.4 activity group but not the A.3 activity group and has, for that activity, written 1.0 million or less in gross written premium for fees purposes and holds best estimate liabilities for fees purposes of 1.0	

			million or less, in which case the minimum fee payable is £247; or [deleted]			
		(d)	it is a <i>non-directive friendly society</i> that falls into the A.3 and A.4 activity groups and meets the conditions in (3)(a) and (3)(b), in which case the minimum fee payable is £247. [deleted]			
	(2)	The conditions referred to in (1)(a) are that the <i>credit union</i> has a <u>with a tariff base (Modified Eligible Liabilities)</u> of:				
		(a)	0 to 0.5million, in which case a minimum fee of £92 is payable; or £0 to £0.5million			<u>£97</u>
		(b)	greater than 0.5million but less than 2.0million, in which case a minimum fee of £310 is payable. £0.5million to £2.0million			<u>£327</u>
		(c)	above £2 million.			<u>£606</u>
	(3)	The conditions referred to in (1)(d) are that: <i>Non-directive friendly society</i> that meets the criteria of one of the following categories (a) to (c):				
		(a)	the <i>non-directive friendly society</i> falls into the A.3 activity group and has, for that activity, 0.5 million or less in gross written premium for fees purposes and holds best estimate liabilities for fees purposes of 1.0 million or less;			
		(b)	the <i>non-directive friendly society</i> falls into the A.4 activity group and has, for that activity, written 1.0 million or less in gross written premium for fees purposes and holds best estimate liabilities for fees purposes of 1.0 million or less.			
			<u>Activity group the firm falls into</u>	<u>Gross written premium for fees purposes for that activity</u>	<u>Best estimate liabilities for fees purposes for that activity</u>	
		(a)	<u>A.3 but not A.4</u>	<u>£0.5 million or less</u>	<u>Up to £1 million or less</u>	<u>£261</u>

		(b)	<u>A.4 but not A.3</u>	<u>£1 million or less</u>	<u>£1 million or less</u>	<u>£261</u>
		(c)	<u>Both A.3 and A.4</u>			
			<u>A.3</u>	<u>£0.5 million or less</u>	<u>£1 million or less</u>	<u>£261</u>
			<u>A.4</u>	<u>£1 million or less</u>	<u>£1 million or less</u>	<u>£261</u>
The figures for gross written premium for fees purposes and best estimate liabilities for fees purposes are the same as used for Part 1 of this Annex.						

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4 Annex 4R Periodic fees in relation to collective investment schemes, AIFs marketed in the UK, small registered UK AIFMs and money market funds payable for the period 1 April ~~2021~~ 2022 to 31 March ~~2022~~ 2023

Part 1 – Periodic fees payable

Scheme type	Basic fee (£)	Total funds/sub-funds aggregate	Fund factor	Fee (£)
<i>ICVC,</i>	350.00	1-2	1	350.00 <u>324.00</u>
<i>AUT,</i>	324.00	3-6	2.5	875.00 <u>810.00</u>
<i>ACS,</i>		7-15	5	1,750.00 <u>1,620</u>
<i>LTIFs,</i>		16-50	11	3,850.00 <u>3,564.00</u>
Money market funds with effect from 21 July 2018		>50	22	7,700.00 <u>7,128.00</u>
<i>non-UK AIFs recognised under section 272 of the Act</i>	1,425.00	1-2	1	1,425.00 <u>1,320.00</u>
		3-6	2.5	3,562.50 <u>3,300.00</u>
	<u>1,320.00</u>	7-15	5	7,125.00 <u>6,600.00</u>

		16-50	11	15,675.00 <u>14,520.00</u>
		>50	22	31,350.00 <u>29,040.00</u>

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Part 2 – Periodic fees for *AIFs* marketed in the *UK*, following a notification to the *FCA* under regulation 57, 58 or 59 of the *AIFMD UK regulation*

Kind of notification	Fee per <i>AIF</i> (£)
Notification under regulation 57 of the <i>AIFMD UK regulation</i>	344 <u>365</u>
Notification under regulation 58 of the <i>AIFMD UK regulation</i>	240 <u>255</u>
Notification under regulation 59 of the <i>AIFMD UK regulation</i>	344 <u>365</u>

Part 3 – Periodic fees paid by *small registered UK AIFMs*

The annual fee for <i>small registered UK AIFMs</i> is £676 <u>£718</u>
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4 **Periodic fees for designated professional bodies: tariff base, valuation date and tariff rates**

Annex
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Part 1

...

Part 2

This table sets out the tariff rates applicable to *designated professional bodies*

Fee payable in relation to 2021/22 <u>2022/23</u>	Amount payable
Minimum fee, payable by all <i>designated professional bodies</i>	£10,000 <u>£10,620</u>
Variable fee, payable by <i>designated professional bodies</i> where the number of <i>exempt professional firms</i> regulated or supervised by a <i>designated professional body</i> is greater than 1	£22.80 22.40 multiplied by the total number of <i>exempt professional firms</i> in excess of 1

Note

The *Financial Services Register* includes details of *exempt professional firms* carrying out *insurance distribution activity*.

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4 **Periodic fees in respect of payment services, electronic money issuance, regulated covered bonds, CBTL business, data reporting services and third party verifiers in relation to the period 1 April ~~2021~~ 2022 to 31 March ~~2022~~ 2023**
Annex 11R

This Annex sets out the periodic fees in respect of *payment services* carried on by *fee-paying payment service providers* under the *Payment Services Regulations* and electronic money issuance by *fee-paying electronic money issuers* under the *Electronic Money Regulations* and issuance of *regulated covered bonds* by issuers and *CBTL business* carried on by *CBTL firms* under the *MCD Order* and *data reporting services providers* under the *DRS Regulations*.

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Part 5 – Tariff rates		
Activity group	Fee payable in relation to 2021/22 <u>2022/23</u>	
G.2	Minimum fee (£)	525 <u>558</u>
	£ million or part £m of Modified Eligible Liabilities (MELS)	Fee (£/£m or part £m of MELS)
	> 0.1	0.499 <u>0.340</u>
G.3	Minimum fee (£)	525 <u>558</u>
	£ thousands or part thousand of Relevant Income	Fee (£/£thousand or part £thousand of Relevant Income)
	> 100	0.335 <u>0.454</u>
G.4	Flat fee (£)	545 <u>579</u>
G.5	As in G.3	
G.10	Minimum fee (£)	1,726 <u>1,833</u>
	£million or part m of average outstanding electronic money (AOEM)	Fee (£/£m, or part £m of AOEM)
	>5.0	35.00 <u>12.80</u>

G.11	Flat fee (£)	4,198 <u>1,272</u>
G.15	Minimum fee for the first registered <i>programme</i> (£)	95,189 <u>98,265</u>
	Minimum fee for all subsequent registered <i>programmes</i>	75% of minimum fee for first registered <i>programme</i>
	£million or part £m of <i>regulated covered bonds</i> issued in the 12 months ending on the valuation date.	Fee (£/£m or part £m of <i>regulated covered bonds</i> issued in the 12 months ending on the valuation date)
	>0.00	17.52 <u>18.26</u>
	...	
G.20	Flat fee (£)	442 <u>469</u>
G.21	Flat fee (£)	220 <u>234</u>
G.25	Flat fee (£) for first <i>data reporting service</i> plus 50% flat fee for each additional <i>data reporting service</i> for which the <i>data reporting services provider</i> has authorisation.	27,326 <u>29,020</u>
G.26 TPV	<u>Flat fee (£)</u>	265 <u>281</u>
	...	

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4 UKLA periodic fees for the period from 1 April ~~2021~~ 2022 to 31 March ~~2022~~
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Part 1 Base fee		
Activity group or invoice code (Note 1)	Description	Base fee payable (£)
E.1	Discontinued	
E.2	Premium listed issuer A <i>listed issuer</i> of <i>equity shares</i> and <i>certificates</i>	5,686 <u>6,037</u>

		<i>representing shares with a premium listing (see Note 2)</i>	
E.3	Standard listed issuer	<i>A listed issuer of shares and certificates representing certain securities with a standard listing and not with a premium listing (see Note 2)</i>	21,536 <u>22,871</u>
E.4	Discontinued		
E.5	Discontinued		
E.6	Non-listed issuer (in DTR)	<i>A non-listed issuer (in DTR)</i>	0
E.7	Primary information provider	<i>A primary information provider</i>	17,973 <u>19,087</u>
ES.01	Sponsor	<i>A sponsor (see Note 3)</i>	29,938 <u>31,794</u>
...			
Part 2 Variable fee additional to base fee			
Activity Group		Market capitalisation as at the last <i>business day</i> of the September prior to the <i>fee-year</i> in which the fee is payable in £million	Fee payable in £per £million or £part million
E.2	Premium listed issuer (as described in Part 1)	0 – 100	0
		> 100 – 250	37.335163 <u>33.302966</u>
		> 250 – 1,000	14.405007 <u>12.849266</u>
		> 1,000 – 5,000	8.866872 <u>7.909250</u>
		> 5,000 – 25,000	0.216289 <u>0.192930</u>
		> 25,000	0.069878 <u>0.062331</u>

4 Fees relating to the recognition of benchmark administrators and the
Annex endorsement of benchmarks for the period 1 April ~~2020~~ 2022 to 31 March
15R ~~2021~~ 2023

Activity group	Fee payable
<i>A third country legal representative</i>	£13,571 £14,412
<i>A benchmark endorser</i>	£7,959 £8,452

4 Annex 16R Periodic fees for credit rating agencies, trade repositories and securitisation repositories

This Annex sets out the periodic fees in respect of *credit rating agencies, trade repositories and securitisation repositories*.

...		
Part 4 – Tariff rates		
Fee block	Activity group	Fee payable in relation to the fee year 2021/2022 <u>2022/2023</u>
J.1	Registered <i>credit rating agencies</i> and third country certified credit rating agencies with applicable turnover of £8,994m <u>£8,399m</u> or less	Exempt
	Registered <i>credit rating agencies</i> with turnover above £8,994m <u>£8,399m</u>	£3.95 <u>£1.99</u> per £1k or part-£1k (applies to all turnover)
	Certified <i>credit rating agencies</i> with turnover above £8,994m <u>£8,399m</u>	£5,396 <u>£5,039</u>
J.2	Registered <i>trade repositories</i>	£19.88 <u>£10.54</u> per £1k or part-£1k, subject to a minimum payment of £26,982 <u>£25,197</u>
	Recognised <i>trade repositories</i>	£4,497 <u>£4,199</u>
J.3	Registered <i>securitisation repositories</i>	£19.88 <u>£10.54</u> per £1k or part-£1k subject to a minimum payment of £26,982 <u>£25,197</u>

4A Temporary Permissions Regime (TPR) and Financial Service Contracts Regime (FSCR) – periodic fees

...

4A TP persons periodic fees for the period from 1 April ~~2021~~ 2022 to 31 March
Annex ~~2022~~ 2023
1R

Part 1

Activity group	Fee payable	
A.1	Band Width (£ million of Modified Eligible Liabilities (MELs))	Fee (£/£m or part £m of MELs)
		General Periodic fee
	>10 – 140	14.5772 <u>14.526</u>
	>140 – 630	14.5772 <u>14.526</u>
	>630 – 1,580	14.5772 <u>14.526</u>
	>1,580 – 13,400	18.222 <u>18.158</u>
	>13,400	24.052 <u>23.968</u>
A.2	Band Width (no. of mortgages and/or <i>home finance transactions</i>)	Fee (£/mortgage)
	>50	2.816 <u>2.877</u>
A.3	Gross written premium for fees purposes (GWP)	Periodic fee
	Band Width (£ million of GPI <u>GWP</u>)	Fee (£/£m or part £m of GWP)
	>0.5	365.89 plus, where neither the <i>firm</i> , nor a member of its <i>group</i> , was a defendant in <i>Financial Conduct Authority v Arch Insurance (UK) Ltd and others</i> [2020] EWCA Comm 2448, 110.63 <u>351.76</u>
	PLUS	
	Best estimate liabilities for fees purposes (BEL)	General Periodic fee

	Band Width (£ million of BEL)	Fee (£/£m of part £m of BEL)
	>1	18.90 plus, where neither the <i>firm</i> , nor a member of its <i>group</i> , was a defendant in <i>Financial Conduct Authority v Arch Insurance (UK) Ltd and others</i> [2020] EWCA Comm 2448, 6.15 <u>19.17</u>
A.4	Gross written premium for fees purposes (GWP)	General Periodic fee
	Band Width (£ million of GWP)	Fee (£/£m or part £m of GWP)
	>1	224.27 <u>220.78</u>
	PLUS	
	Best estimate liabilities for fees purposes	General Periodic fee
	Band Width (£ million of BEL)	Fee (£/£m or part £m of BEL)
	>1	12.89 <u>12.55</u>
A.7	For class 1(C), (2), (3) and (4) <i>firms</i> :	
	Band Width (£ million of Funds under Management (FuM))	Fee (£/£m of part £m of FuM)
	>10	4.55 <u>4.353</u>
	Class 1 (C) firms are defined in <i>FEES</i> 4 Annex 1A	
A.9	Band Width (£ million of Gross Income (GI))	Fee (£/£m of part £m of GI)
	>1	973.26 <u>937.33</u>
A.10	Band Width (no. of traders)	Fee (£/trader)
	>1	6,697.26 <u>6,917.74</u>
A.13	For class (2) firms	
	Band Width (£ thousands of annual income (AI))	Fee (£/£ thousand or part £ thousand of AI)
	>100	2.443 <u>2.494</u>
	For a <i>professional firm</i> in A.13 the fee is calculated as above less 10%.	

A.14	Band Width (£ thousands of annual income (AI))	Fee (£/£ thousand or part £ thousand of AI)
	> 100	1.548 <u>1.414</u>
A.19	Band Width (£ thousands of Annual Income (AI))	Fee (£/£ thousand or part £ thousand of AI)
	>100	1.662 <u>1.693</u>
CC.2	Band Width (£ thousands of annual income (AI))	Fee (£)
	0 – 50	1,500
	>50 – 100	1,750
	>100	1,750
	PLUS:	
		Fee (£/£ thousand or part £ thousand of AI)
	>250	1.40 <u>1.495</u>

Part 2

The table below shows the tariff rates (minimum fees) applicable to each of the fee blocks set out in Part 1 of *FEES* 4A Annex 1R other than fee-block CC2.

Activity group	Fee payable
A.0	£1,151 <u>£1,750</u> unless it is a <i>TP firm</i> that also pays minimum fees set out in the PRA Rulebook, in which case it is £574 <u>£875</u> .

Part 3

TA PI firm or *TA RAISP firm*

Activity group	Fee payable	
G.2	Minimum fee (£)	525 <u>558</u>
	£ million or part £ million of Modified Eligible Liabilities (MELs)	Fee (£/£m or part £m of MELs)
	>0.1	0.499 <u>0.340</u>

G.3	Minimum fee (£)	525 <u>558</u>
	£ thousands or part £ thousand of Relevant Income	Fee (£/£thousand or part £ thousand of Relevant Income)
	>100	0.335 <u>0.454</u>

Part 4*TA EMI firms*

Activity group	Fee payable	
G.10	Minimum fee (£)	1,726 <u>1,833</u>
	£ million or part £ million of average outstanding electronic money (AOEM)	Fee (£/£m or part £m of AOEM)
	>5.0	35.00 <u>12.80</u>

4A **TPR funds periodic fees for the period from 1 April ~~2021~~ 2022 to 31 March**
Annex **2022 2023**
2R

Part 1

Scheme type	Basic fee (£)	Total funds/sub-funds aggregate	Fee (£)
<i>EEA UCITS</i> scheme recognised under Part 6 of The Collective Investment Schemes (Amendment etc.) (EU Exit) Regulations 2018	350.00 <u>324.00</u>	1-2	350.00 <u>324.00</u>
		3-6	875.00 <u>810.00</u>
		7-15	1,750.00 <u>1,620.00</u>
		16-50	3,850.00 <u>3,564.00</u>
		>50	7,700.00 <u>7,128.00</u>
Note: Schemes are charged according to the number of funds or sub-funds which a TP firm is operating and marketing in the UK as at 31 March immediately before the			

start of the period to which the fee applies. For example, for 2019/20 fees a reference to 31 March means 31 March 2019.

Part 2

Scheme type	Fee (£)
<i>EEA AIF, EuVECA, EuSEF, or EEA ELTIF LTIF</i> which may be marketed in the <i>UK</i> under Part 9A of The Alternative Investment Fund Managers (Amendment) (EU Exit) Regulations 2018	344 365

...

5 Financial Ombudsman Service Funding

...

5 Annual General Levy Payable in Relation to the Compulsory Jurisdiction for Annex ~~2021/22~~ 2022/23 1R

Introduction: annual budget

1. The *annual budget* for ~~2021/22~~ 2022/23 approved by the *FCA* is ~~£260.2m~~ £291.7m.

2. The total amount expected to be raised through the *general levy* in ~~2021/22~~ 2022/23 will be ~~£96.0m~~ £106m.

Compulsory jurisdiction - general levy

Industry block	Tariff base	General levy payable by firm
1-Deposit acceptors, <i>home finance providers, home finance administrators</i> (excluding <i>firms</i> in block 14) and <i>dormant account fund operators</i>	Number of accounts relevant to the activities in <i>DISP</i> 2.6.1R as at 31 December In the case of <i>dormant account fund operators</i> , the tariff base is the number of eligible activated accounts (8).	£0.12010 <u>£0.150</u> per relevant account, subject to a minimum levy of £100

2-Insurers - general (excluding <i>firms</i> in blocks 13 & 15)	Gross written premium for fees purposes (GWP) as defined in <i>FEES</i> 4 Annex 1AR; or Relevant gross written premium (RGWP) notified to the <i>FCA</i> under <i>FEES</i> 5.4.1R(1A).	£ 0.414 <u>£0.434</u> per £1,000 of GWP or RGWP, subject to a minimum levy of £100
3-The <i>Society</i> (of Lloyd's)	Not applicable	£ 94,255 <u>£104,739</u> to be allocated by the <i>Society</i>
4. Insurers - life (excluding <i>firms</i> in block 15)	Gross written premium for fees purposes (GWP) as defined in <i>FEES</i> 4 Annex 1AR; or Relevant gross written premium (RGWP) notified to the <i>FCA</i> under <i>FEES</i> 5.4.1R(1A).	£ 0.0334 <u>£0.0509</u> per £1,000 of GWP or RGWP, subject to a minimum levy of £130
5. Portfolio managers (including those holding <i>client money/assets</i> and not holding <i>client money/assets</i>)	Flat fee	Levy of £210
6. Managers and depositaries of investment funds, and operators of collective investment schemes or pension schemes	Flat fee	Levy of £60
7. Dealers as principal	Flat fee	Levy of £75
8-Advisors, <i>arrangers</i> , dealers or brokers holding and controlling <i>client money</i> and/or assets	Annual income as defined in <i>FEES</i> 4 Annex 11A relating to <i>firm's relevant business</i> .	£ 0.466 <u>£0.592</u> per £1,000 of annual income subject to a minimum fee of £45

9-Advisors, <i>arrangers</i> , dealers or brokers not holding and controlling <i>client money</i> and/or assets	Annual income as defined in <i>FEES</i> 4 Annex 11A relating to <i>firm's relevant business</i> .	£ 0.330 <u>£0.42</u> per £1,000 of annual income subject to a minimum fee of £45
10-Corporate finance advisers	Flat fee	Levy of £55
11- <i>fee-paying payment service providers</i> (but excluding <i>firms</i> in any other Industry block except Industry block 18)	For <i>authorised payment institutions, registered account information service providers, electronic money issuers</i> (except for <i>small electronic money institutions</i>), the Post Office Limited, the Bank of England, government departments and local authorities, and <i>TA EMI firms, TA PI firms</i> and <i>TA RAISP firms</i> , relevant income as described in <i>FEES</i> 4 Annex 11 Part 3.	£ 0.0039 <u>£0.0044</u> per £1,000 of relevant income subject to a minimum levy of £75
	For <i>small payment institutions</i> and <i>small electronic money institutions</i> a flat fee.	Levy of £35
13-Cash plan health providers	Flat fee	Levy of £65
14- <i>Credit unions</i>	Flat fee	Levy of £55
15- <i>Friendly societies</i> whose tax-exempt business represents 95% or more of their total relevant business	Flat fee	Levy of £65
16- <i>Home finance providers, advisers and arrangers</i> (excluding <i>firms</i> in blocks 13, 14 & 15)	Flat fee	Levy of £85

17 - General insurance distribution (excluding firms in blocks 13, 14 & 15)	Annual income (as defined in MIPRU 4.3) relating to firm's relevant business	£0.796 £0.857 per £1,000 of annual income (as defined in MIPRU 4.3) relating to firm's relevant business subject to a minimum levy of £100
18 - fee-paying electronic money issuers	For all fee-paying electronic money issuers except for small electronic money institutions, and TA EMI firms, average outstanding electronic money, as described in FEES 4 Annex 11 Part 3.	£0.0001 per £1,000 of average outstanding electronic money subject to a minimum levy of £40
	For small electronic money institutions, a flat fee	Levy of £50
19 - Credit-related regulated activities with limited permission	For not-for-profit debt advice bodies, a flat fee	Levy of £0
	For all other firms with limited permission, a flat fee	Levy of £35
20 - Credit-related regulated activities	Annual income as defined in FEES 4 Annex 11BR	Levy of £35 Plus £1.177 £1.181 per £1,000 of annual income on income above £250,000
21 - CBTL firms that do not have permission to carry out any regulated activities	Flat fee	Levy of £35
22 - designated credit reference agencies (but excluding firms in any other industry block)	Flat fee	Levy of £75
23 – designated finance platforms (but excluding firms in any other industry block)	Flat fee	Levy of £75

<i>24 claims management companies</i>	Annual income	Levy of £50 plus £1.45 <u>£1.20</u> per £1,000 of annual income
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7A SFGB levies

...

7A SFGB money advice levy for the period from 1 April ~~2021~~ 2022 to 31 March 2022 2023**Annex 1R**

Part 1

This table shows the *SFGB money advice levy* applicable to each activity group (fee-block).

Activity group	SFGB money advice levy payable	
A.1	Band Width (£ million of Modified Eligible Liabilities (MELs))	Fee (£/£m or part £m of MELs)
	>10	1.114 <u>0.949</u>
A.2	Band Width (no. of mortgages and/or <i>home finance transactions</i>)	Fee (£/mortgage)
	>50	0.601 <u>0.449</u>
A.3	Gross written premium for fees purposes (GWP)	
	Band Width (£ million of GWP)	Fee (£/£m or part £m of GWP)
	>0.5	26.73 <u>21.38</u>
	PLUS	
	Best estimate liabilities for fees purposes (BEL)	
	Band Width (£ million of BEL)	Fee (£/£m of part £m of BEL)

	>1	1.47 <u>1.24</u>
A.4	Gross written premium for fees purposes (GWP)	
	Band Width (£ million of GWP)	Fee (£/£m or part £m of GWP)
	>1	12.85 <u>12.77</u>
	PLUS	
	Best estimate liabilities for fees purposes (BEL)	
	Band Width (£ million of BEL)	Fee (£/£m or part £m of BEL)
	>1	0.74 <u>0.726</u>
A.5	Band Width (£ million of Active Capacity (AC))	Fee (£/£m or part £m of AC)
	>50	0.00
A.6	Flat levy	0.00
A.7	For class 1(c), (2), (3) and (4) <i>firms</i> :	
	Band Width (£ million of Funds under Management (FuM))	Fee (£/£m of part £m of FuM)
	>10	0.105 <u>0.0840</u>
	For class 1(B) <i>firms</i> : the fee calculated as for class 1(C) firms above, less 15%.	
	For class 1(A) <i>firms</i> : the fee calculated as for class 1(C) firms above, less 50%.	
	Class 1(A), (B) and (C) firms are defined in FEES 4 Annex 1AR.	
A.9	Band Width (£ million of Gross Income (GI))	Fee (£/£m of part £m of GI)
	>1	80.64 <u>96.57</u>

A.10	Band Width (no. of traders)	Fee (£/trader)
	>1	149.02 <u>125.43</u>
A.13	For class (2) firms	
	Band Width (£ thousands of annual income (AI))	Fee (£/£ thousand or part £ thousand of AI)
	>100	0.0515 <u>0.0487</u>
	For a <i>professional firm</i> in A.13 the fee is calculated as above less 10%.	
A.14	Band Width (£ thousands of annual income (AI))	Fee (£/£ thousand or part £ thousand of AI)
	>100	0.024 <u>0.0186</u>
A.18	Band Width (£ thousands of Annual Income (AI))	Fee ((£/£ thousand or part £ thousand of AI)
	>100	0.177 <u>0.135</u>
A.19	Band Width (£ thousands of Annual Income (AI))	Fee (£/£ thousand or part £ thousand of AI)
	>100	0.0289 <u>0.0215</u>
A.21	Band Width (£ <i>client money</i>) (CM) held	Fee (£/£ millions or part £m of CM)
	less than £1 million	1.602 <u>1.296</u>
	an amount equal to or greater than £1 million but less than or equal to £1 billion	1.202 <u>0.972</u>
	more than £1 billion	0.801 <u>0.648</u>
	PLUS	
	<i>Safe custody assets</i>	
	Band Width (£ <i>safe custody assets</i>) (CA) held	Fee (£/£ millions or part £m of CA)
	less than £10 million	0.0069 <u>0.0045</u>

	an amount equal to or greater than £10 million and less than or equal to £100 billion	0.0052 <u>0.0034</u>
	more than £100 billion	0.0035 <u>0.0023</u>
G.3	Minimum fee (£)	10
	£ thousands or part £ thousand of Relevant Income	Fee (£/£thousand or part £ thousand of Relevant Income)
	>100	0.0230 <u>0.0262</u>
G.4	Flat fee (£)	10
G.10	Minimum fee (£)	10
	£ million or part £m of average outstanding electronic money (AOEM)	Fee (£/£m or part £m of AOEM)
	>5.0	2.62 <u>2.472</u>
G.11	Flat fee (£)	10
CC.1	Minimum fee (£)	10
	£ thousand of annual income (AI)	Fee (£/£ thousand or part £ thousand of AI)
	>250	0.0691 <u>0.1004</u>
CC.2	Minimum fee (£)	10
	£ thousands of annual income (AI)	Fee (£/£ thousand or part £ thousand of AI)
	>250	0.0691 <u>0.1004</u>
Notes		
(1) The definitions of fee-blocks G5 and G10 under Part 2 and Part 2A of FEES 4 Annex 11R are modified, for the purposes of FEES 7A so that they exclude the Bank of England, government departments, local authorities, municipal banks and the National Savings Bank.		
(2) The definitions of those fee-blocks are further amended to exclude EEA firms and those which hold a Part 4A permission.		

Part 2	
(1)	This part sets out the minimum <i>SFGB money advice levy</i> applicable to the <i>firms</i> specified in (3) below.
(2)	The minimum <i>SFGB money advice levy</i> payable by any <i>firm</i> referred to in (3) is £10.
(3)	A <i>firm</i> is referred to in this paragraph if it falls within the following activity groups: A.1; A.2; A.3 (excluding <i>UK ISPVs</i>); A.4; A.5; A.7; A.9; A.10; A.12; A.13; A.14; A.18; A.19; G.3 and G.10.

**7A SFGB debt advice levy for the period from 1 April ~~2021~~ 2022 to 31
Annex March ~~2022~~ 2023
2R**

...

Part 4

This table shows the tariff rates applicable to each of the fee-blocks set out in Part 1

Activity group	SFGB debt advice levy payable	
A.2 Home finance providers and administrators	Band width (£million of secured debt) >0	Fee (£/£m or part £m of secured debt) 28.88 <u>26.15</u>
CC.3 Consumer credit lending	Band width (£million of value of lending) >0 (Note 1)	Fee (£/£m or part £m of value of lending) 163.44 <u>167.76</u>
Notes		
(1) <i>Credit unions</i> and <i>community finance organisations</i> do not pay any <i>SFGB debt advice levy</i> on the first £2,000,000 of value of lending.		
(2) The additional fee in column 4 must be paid in addition to the fee in column 3.		

**7A SFGB pensions guidance levy for the period 1 April ~~2021~~ 2022 to 31 March
Annex ~~2022~~ 2023
3R**

Activity group	SFGB pensions guidance levy payable	
A.1	Band width (£ million of modified eligible liabilities (MELs)) >10	Fee (£/£m or part £m of MELS) 1.337 <u>3.239</u>
A.4	Band width (£ million of gross written premium for fees purposes (GWP) >1	Fee (£/£m or part £m of GWP) 37.80 <u>90.49</u>
A.7	For class 1(B), 1 (C), (2) and (3) firms: Band width (£ million of funds under management (FuM)) >10	Fee (£/£m or part £m of FuM) 0.4363 <u>1.0201</u>
A.9	Band width (£ million of gross income (GI)) >1	Fee (£/£m or part £m of GI) 310.37 <u>574.63</u>
A.13	Band width (£ thousands of annual income (AI)) >100	Fee (£/£ thousand or part of £ thousand of AI) 0.0779 <u>0.1682</u>

...

7B The DA levy

...

7B DA levy for the period from 1 April ~~2021~~ 2022 to 31 March ~~2022~~ 2023**Annex****1R**

...

Part 4

This table shows the tariff rates applicable to each of the fee-blocks set out in Part 1.

Activity group	DA levy payable	
A.2 Home finance providers and administrators	Band width (£million of secured debt) >0	Fee (£/£m or part £m of secured debt) 4.748 <u>3.791</u>
CC.3 Consumer credit lending	Band width (£million of value of lending) >0 (Note 1)	Fee (£/£m or part £m of value of lending) 26.88 <u>24.33</u>
Notes Note: <i>Credit unions and community finance organisations do not pay any DA levy on the first £2,000,000 of value of lending.</i>		

7C Temporary Permissions Regime (TPR) and Financial Service Contracts Regime (FSCR) – Single Financial Guidance Body Levy

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7C TPR SFGB money advice levy for the period from 1 April ~~2021~~ 2022 to 31 March ~~2022~~ 2023
Annex 1R

This table shows the *TPR SFGB money advice levy* applicable to each activity group (fee-block).

Activity group	TPR SFGB money advice levy payable	
Part 1 <i>TP firms</i>		
A.1	Band Width (£ million of Modified Eligible Liabilities (MELs))	Fee (£/£m or part £m of MELs)
	>10	1.114 <u>0.949</u>
A.2	Band Width (no. of mortgages and/or <i>home finance transactions</i>)	Fee (£/mortgage)

	>50	0.601 <u>0.449</u>
A.3	Gross written premium for fees purposes (GWP)	
	Band Width (£ million of GWP)	Fee (£/£m or part £m of GWP)
	>0.5	26.73 <u>21.38</u>
	PLUS	
	Best estimate liabilities for fees purposes (BEL)	
	Band Width (£ million of BEL)	Fee (£/£m of part £m of BEL)
	>1	1.47 <u>1.24</u>
A.4	Gross written premium for fees purposes (GWP)	
	Band Width (£ million of GWP)	Fee (£/£m or part £m of GWP)
	>1	12.85 <u>12.77</u>
	PLUS	
	Best estimate liabilities for fees purposes (BEL)	
	Band Width (£ million of BEL)	Fee (£/£m or part £m of BEL)
	>1	0.74 <u>0.726</u>
A.7	For class 1(C), (2), (3) and (4) firms:	
	Band Width (£ million of Funds under Management (FuM))	Fee (£/£m of part £m of FuM)
	>10	0.105 <u>0.084</u>
	Class 1(A), (B) and (C) firms are defined in FEES 4 Annex 1AR.	
A.9	Band Width (£ million of Gross Income (GI))	Fee (£/£m of part £m of GI)
	>1	80.64 <u>96.57</u>
A.10	Band Width (no. of traders)	Fee (£/trader)

	>1	149.02 <u>125.43</u>
A.13	For class (2) firms	
	Band Width (£ thousands of annual income (AI))	Fee (£/£ thousand or part £ thousand of AI)
	>100	0.0515 <u>0.0487</u>
	For a <i>professional firm</i> in A.13 the fee is calculated as above less 10%.	
A.14	Band Width (£ thousands of annual income (AI))	Fee (£/£ thousand or part £ thousand of AI)
	>100	0.0240 <u>0.0186</u>
A.18	Band Width (£ thousands of Annual Income (AI))	Fee ((£/£ thousand or part £ thousand of AI)
	>100	0.177 <u>0.1350</u>
A.19	Band Width (£ thousands of Annual Income (AI))	Fee (£/£ thousand or part £ thousand of AI)
	>100	0.0289 <u>0.0215</u>
CC.2	Minimum fee (£)	10
	£ thousands of annual income (AI)	Fee (£/£ thousand or part £ thousand of AI)
	>250	0.0691 <u>0.1004</u>
Part 2 TA PI firms and TA RAISP firms		
G.3	Minimum fee (£)	10
	£ thousands or part £ thousand of Relevant Income	Fee (£/£thousand or part £ thousand of Relevant Income)
	>100	0.0230 <u>0.0262</u>
Part 3 TA EMI firms		
G.10	Minimum fee (£)	10
	£ million or part £m of average outstanding electronic money (AOEM)	Fee (£/£m or part £m of AOEM)
	>5.0	2.62 <u>2.472</u>

Part 4

(1) This Part sets out the minimum *TPR SFGB money advice levy* applicable to the *TPR firms* specified in (3) below.

(2) The minimum *TPR SFGB money advice levy* payable by any *firm* referred to in (3) is £10.

(3) A *TP firm* is referred to in this paragraph if it falls within the following activity groups: A.1; A.2; A.3; A.4; A.7; A.9; A.10; A.13; A.14; A.18; and A.19.

7C **TPR SFGB debt advice levy for the period from 1 April ~~2021~~ 2022 to 31**
Annex **March ~~2022~~ 2023**
2R

This table shows the *TPR SFGB debt advice levy* applicable to each activity group (fee-block).

...

Part 4

This table shows the tariff rates applicable to each of the fee-blocks set out in Part 1.

Activity group	TPR SFGB debt advice levy payable	
A.2 Home finance providers and administrators	Band width (£million of secured debt)	Fee (£/£m or part £m of secured debt)
	>0	28.88 <u>26.15</u>
CC.3 Consumer credit lending	Band width (£million of value of lending)	Fee (£/£m or part £m of value of lending)
	>0 (Note 1)	163.44 <u>167.76</u>
Note		
(1) <i>Credit unions</i> and <i>community finance organisations</i> do not pay any <i>TPR SFGB debt advice levy</i> on the first £2,000,000 of value of lending.		

7C **TPR SFGB pensions guidance levy for the period 1 April ~~2021~~ 2022 to 31**
Annex **March ~~2022~~ 2023**
3R

This table shows the *TPR SFGB pensions guidance levy* applicable to each activity group (fee-block).

Activity group	TPR SFGB pensions guidance levy payable	
<i>TP firms</i>		
A.1	Band width (£ million of modified eligible liabilities (MELs))	Fee (£/£m or part £m of MELs)
	>10	1.337 <u>3.239</u>
A.4	Gross written premium for fees purposes (GWP)	
	Band Width (£ million of GWP)	Fee (£/£m or part £m of GWP)
	>1	37.80 <u>90.49</u>
A.7	For class 1(B), 1 (C), (2) and (3) firms:	
	Band width (£ million of funds under management (FuM))	Fee (£/£m or part £m of FuM)
	>10	0.4363 <u>1.0201</u>
A.9	Band width (£ million of gross income (GI))	Fee (£/£m or part £m of GI)
	>1	310.37 <u>574.63</u>
A.13	Band width (£ thousands of annual income (AI))	Fee (£/£ thousand or part of £ thousand of AI)
	>100	0.0779 <u>0.1682</u>

7D Temporary Permissions Regime (TPR) – Devolved Authorities levy

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7D Annex 1R TPR DA levy for the period from 1 April ~~2021~~ 2022 to 31 March ~~2022~~ 2023

...

Part 1

...

Part 4

This table shows the tariff rates applicable to each of the fee-blocks set out in Part 1.

Activity group	TPR DA levy payable	
A.2 Home finance providers and administrators	Band width (£ million of secured debt)	Fee (£/£m or part £m of secured debt)
	>0	4.748 <u>3.791</u>
CC.3 Consumer credit lending	Band width (£ million of value of lending)	Fee (£/£m or part £m of value of lending)
	>0 (Note 1)	26.88 <u>24.33</u>
<p>Note</p> <p>(1) <i>Credit unions and community finance organisations</i> do not pay any <i>TPR DA levy</i> on the first £2,000,000 of value of lending.</p>		

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13 Illegal money lending levy

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13 Annex 1R Illegal money lending (IML) levy for ~~2021/22~~ 2022/23

Limited permission (fee-block CC1):	£5 flat rate
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Full authorisation (fee-block CC2):	Up to £250,000 consumer credit income:	£10
	Over £250,000 consumer credit income:	£10 + 0.240 <u>0.270</u> per £1,000

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13A Temporary Permissions Regime (TPR) and Financial Service Contracts Regime (FSCR) - Illegal money lending levy

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13A TPR illegal money lending (IML) levy for ~~2021/22~~ 2022/23
Annex 1R

Activity group	Description	Fee (£)
Activity group CC2. Credit-related regulated activities:	Up to £250,000 consumer credit income:	10
	Over £250,000 consumer credit income:	10 + £0.240 <u>£0.270</u> per £1,000

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App 2 Office for Professional Body Anti-money laundering Supervision fees

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App 2 Periodic fees imposed under Regulation 27 of the OPBAS Regulations: tariff base, review date, tariff rates
Annex 2

...

Part 3

This table sets out the tariff rates applicable to **professional body supervisors**.

Fee payable in relation to <u>2021/22</u> <u>2022/23</u>	Amount payable (£)
Minimum fee, payable by all professional body supervisors subject to the OPBAS Regulations .	£5000 <u>£5,310</u>
Variable fee, payable by professional body supervisors where the number of supervised individuals is 6,000 or more.	£32.83 <u>£35.19</u> multiplied by the total number of supervised individuals in excess of the threshold of 6,000. [See Note]
[Note: reference to “the number of supervised individuals” is to those supervised individuals calculated in accordance with Part 1.]	

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App 3 Fees payable by persons registered under the Money Laundering Regulations that are not cryptoasset businesses

App 3.1 Fees for persons registered under the Money Laundering Regulations that are not cryptoasset businesses

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App 3.1.2

(1)	Registration fee:		
	£100		
(2)	Periodic fee:		
	Activity group	Fee-payer falls in the activity group if:	Fee payable in <u>2021/22</u> <u>2022/23</u>
	G.1	it is registered with the <i>FCA</i> under the <i>Money Laundering Regulations</i> or any predecessor legislation and it is not an <i>authorised person</i> or a <i>cryptoasset business</i> or otherwise registered with the <i>FCA</i> .	£1,000 <u>£1,062</u>

[Note: Regulation 102 of the *Money Laundering Regulations*]

App 4 Fees payable by cryptoasset businesses registered under the Money Laundering Regulations

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App 4 Periodic fees payable by cryptoasset businesses registered under the Money Laundering Regulations

[Note: Regulation 102 of the *Money Laundering Regulations*]

(1) This table sets out the tariff base relating to the fee-block for *cryptoasset businesses* registered with the *FCA* under the *Money Laundering Regulations*. The tariff base in this Part is the means by which the *FCA* calculates the annual periodic fee payable to it by a *cryptoasset business* registered with the *FCA* under the *Money Laundering Regulations*.

Fee-block	Fee-payer	Tariff base	Valuation date
G.23	<i>Cryptoasset business</i> registered with the <i>FCA</i> under the <i>Money Laundering Regulations</i>	Annual income, as defined in <i>FEES</i> Appendix 4 Annex 3	The business's financial year ended in the calendar year ending 31 December before the start of the period to which the fee applies

(2) This table sets out the tariff rates applicable to *cryptoasset businesses* registered with the *FCA* under the *Money Laundering Regulations*.

Tariff rates in relation to 2021/22 <u>2022/23</u>	
Fee payable	Amount payable
Minimum fee, payable by all <i>cryptoasset businesses</i>	£ 1 <u>£2,000</u>
Variable fee, payable in addition to the minimum fee, on income above £100,000	£ 1 <u>£4.03</u> per £1,000 or part-£1,000