

**FEES (TRADE REPOSITORIES AND SECURITISATION REPOSITORIES)  
INSTRUMENT 2021**

**Powers exercised**

- A. The Financial Conduct Authority (“the FCA”) makes this instrument in the exercise of:
- (1) the following powers and related provisions in or under the Financial Services and Markets Act 2000 (“the Act”):
    - (a) section 137A (General rule-making power);
    - (b) section 137T (General supplementary powers);
    - (c) section 139A (Power of the FCA to give guidance);
    - (d) paragraph 23 (Fees) in Part 3 (Penalties and Fees) of Schedule 1ZA (The Financial Conduct Authority);
  - (2) regulations 206 and 208 of the Financial Services and Markets Act 2000 (Amendment) (EU Exit) Regulations 2019; and
  - (3) regulation 7(2)(b) of the Financial Regulators’ Powers (Technical Standards etc.) (Amendment etc.) (EU Exit) Regulations 2018.
- B. The rule-making powers listed above are specified for the purpose of section 138G (Rule-making instruments) of the Act.

**Commencement**

- C. This instrument comes into force on 29 January 2021.

**Amendments to the Handbook**

- D. The Glossary of definitions is amended in accordance with the Annex in this instrument.

**Citation**

- E. This instrument may be cited as the Fees (Trade Repositories and Securitisation Repositories) Instrument 2021.

By order of the Board  
28 January 2021

**Annex****Amendments to the Glossary of definitions**

Insert the following new definitions in the appropriate alphabetical position. The text is not underlined.

*securitisation repository* (in *FEES*) a legal person that centrally collects and maintains the records of securitisations.

*trade repository* (in *FEES*) a legal person that centrally collects and maintains the records of derivatives or *securities financing transactions*.

*UK SFTR* the *UK* version of Regulation (EU) 2015/2365 of the European Parliament and of the Council of 25 November 2015 on transparency of securities financing transactions and of reuse and amending Regulation (EU) No 648/2012.