

**LISTING RULES AND DISCLOSURE GUIDANCE AND TRANSPARENCY RULES
(MISCELLANEOUS AMENDMENTS) INSTRUMENT 2018**

Powers exercised

- A. The Financial Conduct Authority makes this instrument in the exercise of the following powers and related provisions in the Financial Services and Markets Act 2000 (the “Act”):
- (1) section 73A (Part 6 Rules);
 - (2) section 89O (Corporate governance rules);
 - (3) section 96 (Obligations of issuers of listed securities);
 - (4) section 137A (The FCA’s general rules); and
 - (5) section 137T (General supplementary powers).
- B. The rule-making powers listed above are specified for the purpose of section 138G(2) (Rule-making instruments) of the Act.

Commencement

- C. This instrument comes into force on 27 July 2018.

Amendments to the Handbook

- D. The Listing Rules sourcebook (LR) is amended in accordance with Annex A to this instrument.
- E. The Disclosure Guidance and Transparency Rules sourcebook (DTR) is amended in accordance with Annex B to this instrument.

Citation

- F. This instrument may be cited as the Listing Rules and Disclosure Guidance and Transparency Rules (Miscellaneous Amendments) Instrument 2018.

By order of the Board
26 July 2018

Annex A

Amendments to the Listing Rules sourcebook (LR)

In this Annex, underlining indicates new text.

7 Listing Principles and Premium Listing Principles

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7.2 The Listing and Premium Listing Principles

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7.2.1A R The Premium Listing Principles are as follows:

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Premium Listing Principle 6	<i>A listed company must communicate information to holders and potential holders of its <i>premium listed securities</i> and its <i>listed equity shares</i> in such a way as to avoid the creation <u>or continuation</u> of a false market in those <i>premium listed securities</i> and <i>listed equity shares</i>.</i>

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Annex B

Amendments to the Disclosure Guidance and Transparency Rules sourcebook (DTR)

In this Annex, underlining indicates new text and striking through indicates deleted text.

7 Corporate governance

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7.2 Corporate governance statements

7.2.1 R An *issuer* to which this section applies must include a corporate governance statement in its directors' report. That statement must be included as a specific section of the directors' report and must contain at least the information set out in *DTR 7.2.2R* to *DTR 7.2.7R* and, where applicable, *DTR 7.2.8AR* and *DTR 7.2.10R*.

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7.2.9 R An *issuer* may elect that, instead of including its corporate governance statement in its directors' report, the information required by *DTR 7.2.1R* to ~~*DTR 7.2.7R*~~ *DTR 7.2.8AR* may be set out in:

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