

**DISCLOSURE GUIDANCE AND TRANSPARENCY RULES SOURCEBOOK
(MISCELLANEOUS AMENDMENTS) (No 2) INSTRUMENT 2017**

Powers exercised

- A. The Financial Conduct Authority makes this instrument in the exercise of the following powers and related provisions in the Financial Services and Markets Act 2000 (the “Act”):
- (1) section 73A (Part 6 Rules);
 - (2) section 89A (Transparency rules);
 - (3) section 137A (The FCA’s general rules); and
 - (4) section 137T (General supplementary powers).
- B. The rule-making powers listed above are specified for the purpose of section 138G(2) (Rule-making instruments) of the Act.

Commencement

- C. This instrument comes into force on 1 October 2017.

Amendments to the Handbook

- D. The Disclosure Guidance and Transparency Rules sourcebook (DTR) is amended in accordance with the Annex to this instrument.

Citation

- E. This instrument may be cited as the Disclosure Guidance and Transparency Rules Sourcebook (Miscellaneous Amendments) (No 2) Instrument 2017.

By order of the Board
30 March 2017

Annex

Amendments to the Disclosure Guidance and Transparency Rules sourcebook (DTR)

In this Annex, underlining indicates new text, unless otherwise stated.

6 Continuing obligations and access to information

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6.2 Filing information and use of language

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Filing of information with the FCA

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6.2.2A R Where an issuer or person is required to file regulated information under DTR 6.2.2R, the issuer or person must, at the same time, notify the following to the FCA:

(1) the legal entity identifier (LEI) of the issuer concerned; and

(2) the classifications relevant to the regulated information using the classes and sub-classes in DTR 6 Annex 1R.

6.2.2B R If more than one classification is relevant to the regulated information, the issuer or person must notify all relevant classes and sub-classes to the FCA.

Insert the following new Annex after DTR 6.4 (Disclosure of Home State). The text is not underlined.

6 Classes and sub-classes of regulated information

Annex 1R

	Classification of regulated information	Description
1.	Periodic regulated information	
1.1	Annual financial and audit reports	all information disclosed under article 4 of the <i>Transparency Directive</i>
1.2	Half yearly financial reports and audit reports/limited	all information disclosed under article 5 of the <i>Transparency Directive</i>

	reviews	
1.3	Payments to governments	all information disclosed under article 6 of the <i>Transparency Directive</i>
2.	Ongoing regulated information	
2.1	Home Member State	all information disclosed under article 2(1)(i) of the <i>Transparency Directive</i>
2.2	Inside information	all information disclosed under article 17 or article 19 of the <i>Market Abuse Regulation</i>
2.3	Major shareholding notifications	all information disclosed under article 12 of the <i>Transparency Directive</i>
2.4	Acquisition or disposal of the issuer's own shares	all information disclosed under article 14 of the <i>Transparency Directive</i>
2.5	Total number of voting rights and capital	all information disclosed under article 15 of the <i>Transparency Directive</i>
2.6	Changes in the rights attaching to the classes of shares or securities	all information disclosed under article 16 of the <i>Transparency Directive</i>
3.	Additional regulated information required to be disclosed under the laws of a Member State	
3.1	Additional regulated information required to be disclosed under the laws of a Member State	all information not falling within the sub-classes set out in points 1.1 to 1.3 and in points 2.1 to 2.6, but which the <i>issuer</i> , or any other <i>person</i> who has applied for the admission of securities to trading on a <i>regulated market</i> without the <i>issuer's</i> consent, has disclosed under <i>LR</i> or <i>DTR</i>