

**TRAINING AND COMPETENCE SOURCEBOOK (QUALIFICATIONS
AMENDMENTS NO 15) INSTRUMENT 2016**

Powers exercised

- A. The Financial Conduct Authority makes this instrument in the exercise of the following powers and related provisions in the Financial Services and Markets Act 2000 (“the Act”):
- (1) section 137A (The FCA’s general rules);
 - (2) section 137T (General supplementary powers); and
 - (3) section 138C (Evidential provisions).
- B. The rule-making powers listed above are specified for the purpose of section 138G(2) (Rule-making instruments) of the Act.

Commencement

- C. This instrument comes into force on 23 September 2016.

Amendments to the Handbook

- D. The Training and Competence sourcebook (TC) is amended in accordance with the Annex to this instrument.

Citation

- E. This instrument may be cited as the Training and Competence Sourcebook (Qualifications Amendments No 15) Instrument 2016.

By order of the Board
22 September 2016

Annex

Amendments to the Training and Competence sourcebook (TC)

In this Annex, underlining indicates new text and striking through indicated deleted text.

Appendix 4 Appropriate Qualification tables

4.1 Appropriate Qualification tables

4.1.1E Part 1: Activities

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Part 2: Appropriate Qualifications Tables

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Qualification provider	Qualification	Activity Number(s)	Key
...			
Chartered Institute of Bankers in Ireland	Fellow or Associate	15, 16, 17, 18, 19	4
...			
Chartered Institute for Securities and Investment (CISI) - (Formerly the Securities and Investment Institute (SII); formerly The Securities Association)	Investment Advice Diploma (where candidate holds 3 modules including the private client advice module)	4 and 6	a
	<u>Investment Advice Diploma (where candidate holds 3 modules including the Financial Planning and Advice module)</u>	<u>4 and 6</u>	
	...		
...			
Chartered Insurance Institute	...		
	Financial Planning Certificate - Paper 2	18, 19	6

	<u>(LP1) Life and pensions customer operations; (LP2) Financial services products and solutions; and (LP3) Life and pensions principles and practices (where candidate holds all 3 modules)</u>	<u>15, 16, 17, 18, 19</u>	<u>4 and 5</u>
	Pensions law, taxation and administration paper (740) from the Associateship	18, 19	6
	...		
...			
Swiss Finance Institute	...		
<u>The Institute of Banking in Ireland (Formerly the Chartered Institute of Bankers in Ireland)</u>	<u>Fellow or Associate</u>	<u>15, 16, 17, 18, 19</u>	<u>4</u>
	<u>Professional Certificate in International Investment Fund Services</u>	<u>15, 16, 17</u>	<u>6</u>
...			