

**TRAINING AND COMPETENCE SOURCEBOOK (QUALIFICATIONS  
AMENDMENTS NO 13) INSTRUMENT 2015**

**Powers exercised**

- A. The Financial Conduct Authority makes this instrument in the exercise of the following powers and related provisions in the Financial Services and Markets Act 2000 (“the Act”):
- (1) section 137A (The FCA’s general rules);
  - (2) section 137T (General supplementary powers); and
  - (3) section 138C (Evidential provisions).
- B. The rule-making powers listed above are specified for the purpose of section 138G(2) (Rule-making instruments) of the Act.

**Commencement**

- C. This instrument comes into force on 4 December 2015.

**Amendments to the Handbook**

- D. The Training and Competence sourcebook (TC) is amended in accordance with the Annex to this instrument.

**Citation**

- E. This instrument may be cited as the Training and Competence Sourcebook (Qualifications Amendments No 13) Instrument 2015.

By order of the Board  
3 December 2015

## Annex

### Amendments to the Training and Competence sourcebook (TC)

In this Annex, underlining indicates new text.

#### Appendix 4 Appropriate Qualification tables

App 4.1.1 E

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#### Part 2: Appropriate Qualifications Tables

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Qualification provider	Qualification	Activity Number(s)	Key
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University of Northampton	...	...	...
<u>University of South Wales</u>	<u>BSc (Hons) Financial Planning, Investment and Risk</u>	<u>4 and 6</u>	<u>a</u>
University of Stirling	...	...	...
...			