

**FINANCIAL CONDUCT AUTHORITY HANDBOOK DESIGNATION
(CORRECTION) INSTRUMENT 2013**

WHEREAS:

- A. By virtue of Article 2 (1) of the Designation Order, the FCA was given the power to designate a Relevant Instrument, or part of a Relevant Instrument, which was made, issued, given or imposed by the Financial Services Authority if the FCA considers it necessary or expedient to do so in consequence of any provision made by or under the 2012 Act.
- B. By virtue of Article 4(3) of the Designation Order, the FCA may designate a Relevant Instrument on or after the commencement date where such instrument was not designated before the commencement date.

Interpretation

- 1. In this Instrument (including the Recitals):
 - a) “Designation Order” means the Financial Services Act 2012 (Transitional Provisions) (Rules and Miscellaneous Provisions) Order 2013 (SI 2013/161);
 - b) “the 2000 Act” means the Financial Services and Markets Act 2000;
 - c) “the 2012 Act” means the Financial Services Act 2012;
 - d) “Financial Conduct Authority” or “FCA” means the body corporate referred to in section 1A of the 2000 Act, as amended by section 6 of the 2012 Act;
 - e) “Handbook” means the Financial Services Authority’s Handbook of Rules and Guidance (and including, for this purpose, the Handbook Guides and Regulatory Guides published by the Authority alongside the Handbook of Rules and Guidance) in each case as published on the FSA’s Handbook website at 11h59 pm on 27 February 2013;
 - f) “Relevant Instrument” has the meaning in section 119(6)(b) of the 2012 Act;
 - g) “FSA Instrument” means an instrument published by the FSA, by which the FSA made, issued, gave, imposed or amended a Relevant Instrument;
 - h) “FCA Relevant Instrument” means a Relevant Instrument designated by the Financial Conduct Authority under paragraph 2;
 - i) “Commencement date” means 1 April 2013.

Designation of rules etc by the Financial Conduct Authority

- 2. In accordance with Articles 3(1) and 4(3) of the Designation Order, the Financial Conduct Authority designates the following Relevant Instruments:
 - a) the rules, guidance, requirements, codes, schemes, statements or directions, set out in each FSA Instrument (or part of such instrument) by which the FSA made, issued, gave, imposed or amended the part or provision of the Handbook identified with an “x” in the columns headed “FCA designated” in Schedule B to this instrument;

- b) (if a part or provision of the Handbook was made, issued, given, imposed or amended by the FSA other than by an FSA Instrument), the rules, guidance, requirements, codes, schemes, statements or directions, as set out in the part or provision of the Handbook identified with an “x” in the column headed “FCA designated” in Schedule B to this Instrument.
3. As required by Article 3(1) of the Designation Order, the Financial Conduct Authority specifies that:
 - a) the FCA Relevant Instruments falling within paragraph 2(1) were made, issued, given or imposed by the FSA under the provisions set out in the relevant FSA Instrument;
 - b) the FCA Relevant Instruments collectively were made, issued, given or imposed by the FSA under the provisions set out in column 1 of Schedule A to this Instrument; and
 - c) the FCA Relevant Instruments collectively are treated as made, issued, given or imposed by the Financial Conduct Authority under the corresponding provisions set out in column 2 of Schedule A to this Instrument.
4. In accordance with Article 2(2)(a) of the Designation Order, each FCA Relevant Instrument is treated as having been made, issued, given or imposed by the Financial Conduct Authority.
5. As required by Article 3(1) of the Designation Order, the Financial Conduct Authority specifies that the designation of each FCA Relevant Instrument comes into effect on 25 April 2013.

Citation

6. This instrument may be cited as the FCA Handbook Designation (Correction) Instrument 2013.

By order of the Board of the Financial Conduct Authority

25 April 2013

Schedule A

The division of this Schedule into parts is for convenience only and does not have operative effect.

Part 1

Column 1	Column 2
Provisions of the 2000 Act under which the Authority made rules	Corresponding provisions: Financial Conduct Authority
Section 59 (Approval for particular arrangements)	Section 59
Section 72 (The competent authority)	Part VI
Section 73A (Part 6 Rules)	Section 73A
Section 74 (The official list)	Section 74
Section 75 (Applications for listing)	Section 75
Section 77 (Discontinuance and suspension of listing)	Section 77
Section 79 (Listing particulars and other documents)	Section 79
Section 80 (General duty of disclosure in listing particulars)	Section 80
Section 81 (Supplementary listing particulars)	Section 81
Section 84 (Matters which may be dealt with by prospectus rules)	Section 84
Section 85 (Prohibition of dealing etc in transferable securities without approved prospectus)	Section 85
Section 87 (Election to have prospectus)	Section 87
Section 87A (Criteria for approval of prospectus by competent authority)	Section 87A
Section 87B (Exemptions from disclosure)	Section 87B
Section 87G (Supplementary prospectus)	Section 87G
Section 88 (Sponsors)	Section 88

Column 1	Column 2
Provisions of the 2000 Act under which the Authority made rules	Corresponding provisions: Financial Conduct Authority
Section 89 (Public censure of sponsor)	Sections 88A-88F
Section 89A (Transparency rules)	Section 89A
Section 89B (Provision of voteholder information)	Section 89B
Section 89C (Provision of information by issuers of transferable securities)	Section 89C
Section 89D (Notification of voting rights held by issuer)	Section 89D
Section 89E (Notification of proposed amendment of issuer's constitution)	Section 89E
Section 89F (Transparency rules: interpretation etc)	Section 89F
Section 89G (Transparency rules: other supplementary provisions)	Section 89G
Section 89O (Corporate governance rules)	Section 89O
Section 96 (Obligations of issuers of listed securities)	Section 96
Section 96A (Disclosure of information requirements)	Section 96A
Section 96C (Suspension of trading)	Section 96C
Section 99 (Fees)	Schedule 1ZA, Paragraph 23.
Section 99(1) and (2) (Fees)	Schedule 1ZA, Paragraph 23.
Section 100 (Penalties)	Sections 312J and 312K, and schedule 1ZA, Part 2.
Section 101 (Part 6 rules: general provisions)	Sections 101 and 137T.
Section 118(8) (Market abuse)	Section 118(8)
Section 136(2) (Funding of the legal assistance scheme)	Section 136(2)

Column 1	Column 2
Provisions of the 2000 Act under which the Authority made rules	Corresponding provisions: Financial Conduct Authority
Section 138 (General rule-making power)	Section 137A
Section 138(1) (General rule-making power)	Section 137A(1)
Section 139 (Miscellaneous ancillary matters)	Section 137B
Section 139(4) (Miscellaneous ancillary matters)	Section 137B(3)
Section 139A (General rules about remuneration)	Sections 137H and 137I
Section 140 (Restrictions on managers of certain collective investment schemes)	Section 137A(1)
Section 141 (Insurance business rules)	Section 137A(1)
Section 142(2) (Insurance business: regulations supplementing Authority's rules)	Section 137A(1)
Section 144 (Price stabilising rules)	Section 137Q
Section 145 (Financial promotion rules)	Section 137R
Section 146 (Money laundering rules)	Section 137A(1)
Section 147 (Control of information rules)	Section 137P
Section 148(3) (Modification or waiver of rules)	Section 138A(3)
Section 149 (Evidential provisions)	Section 138C
Section 150(2) (Actions for damages)	Section 138D(3)
Section 156 (General supplementary powers)	Section 137T
Section 213 (The compensation scheme) (including as referred to in section 216(5) (Continuity of long-term insurance policies) and section 217(7) (Insurers in financial difficulties)	Section 213
Section 214 (General)	Section 214

Column 1	Column 2
Provisions of the 2000 Act under which the Authority made rules	Corresponding provisions: Financial Conduct Authority
Section 215 (Rights of the scheme in relevant person's insolvency)	Section 215
Section 216 (Continuity of long-term insurance policies)	Section 216
Section 217 (Insurers in financial difficulties)	Section 217
Section 218(2)(b) (Annual report)	Section 218(2)(b)
Section 223 (Management expenses)	Section 223
Section 223C (Payments in error)	Section 223C
Section 224F (Rules about relevant schemes)	Section 224F
Section 226 (Compulsory jurisdiction) (including as applied by regulation 125 of the Payment Services Regulations 2009 (SI 2009/209))	Section 226
Section 226A(7) (Consumer credit jurisdiction)	Section 226A(7)
Section 229 (Awards)	Section 229
Section 234 (Industry funding)	Section 234
Section 238(5) (Restrictions on promotion)	Section 238(5)
Section 239 (Single property schemes)	Section 239
Section 242 (Applications for authorisation of unit trust schemes)	Section 242
Section 247 (Trust scheme rules)	Section 247
Section 248 (Scheme particulars rules)	Section 248
Section 278 (Rules as to scheme particulars)	Section 278
Section 283(1) (Facilities and information in UK)	Section 283(1)

Column 1	Column 2
Provisions of the 2000 Act under which the Authority made rules	Corresponding provisions: Financial Conduct Authority
Section 293 (Notification requirements)	Section 293
Section 293(1) (Notification requirements)	Section 293(1)
Section 295 (Notification: overseas investment exchanges and overseas clearing houses)	Section 295
Section 300B (Duty to notify proposal to make regulatory provision)	Section 300B
Section 322 (Rules applicable to former underwriting members)	None
Section 332(1) (Rules in relation to persons to whom the general prohibition does not apply)	Section 332(1)
Section 340 (Appointment)	Section 340
Paragraph 17 (Fees) of Schedule 1 (The Financial Services Authority)	Schedule 1ZA, Paragraph 23.
Paragraph 17(1) (Fees) of Schedule 1 (The Financial Services Authority)	Schedule 1ZA, Paragraph 23(1).
Paragraph 12 of Part 2 (Funding) of Schedule 1A (Further provision about the Consumer Financial Education Body)	Paragraph 12 of Part 2 (Funding) of Schedule 1A (Further provision about the Consumer Financial Education Body)
Paragraph 12(1) (Funding of the relevant costs by authorised persons or payment service providers) of Part 2 of Schedule 1A (Further provision about the Consumer Financial Education Body)	Paragraph 12(1) (Funding of the relevant costs by authorised persons or payment service providers) of Part 2 of Schedule 1A (Further provision about the Consumer Financial Education Body)
Paragraphs 19 (Establishment) and 20 (Services) of Schedule 3 (EEA Passport Rights)	Paragraphs 19 (Establishment) and 20 (Services) of Schedule 3 (EEA Passport Rights)
Schedule 7 (The Authority as Competent Authority for Part VI)	Part VI
Paragraphs 1 (General), 4 (Rules), and 7 (Fees) of Schedule 7 (The Authority as Competent Authority for Part VI)	Part VI and Schedule 1ZA Paragraph 23

Column 1	Column 2
Provisions of the 2000 Act under which the Authority made rules	Corresponding provisions: Financial Conduct Authority
Paragraphs 7(3) (Annual reports), 13 (Authority's procedural rules), 16B (Procedure for complaints etc) and 16D (Enforcement of money awards) of Schedule 17 (The Ombudsman Scheme)	Paragraphs 7(3) (Annual reports), 13 (Authority's procedural rules), 16B (Procedure for complaints etc) and 16D (Enforcement of money awards) of Schedule 17 (The Ombudsman Scheme)
Paragraph 13(4) (Authority's procedural rules) of Schedule 17 (The Ombudsman Scheme) to the Act	Paragraph 13(4) of Schedule 17

Part 2

Column 1	Column 2
Provisions of other enactments under which the Authority made rules	Corresponding provisions: Financial Conduct Authority
Regulation 6(1) (FSA rules) of the Open-Ended Investment Companies Regulations 2001 (SI 2001/1228)	Regulation 6(1) (FCA rules) of the Open-Ended Investment Companies Regulations 2001 (SI 2001/1228) subject to paragraphs 7 and 8 of this instrument.
Article 4(1) (Designation of pre-commencement provisions) of the Financial Services and Markets Act 2000 (Transitional Provisions and Savings) (Rules) Order 2001 (SI 2001/1534)	Section 137A(1)
Article 15 (Record-keeping and reporting requirements relating to relevant complaints) of the Financial Services and Markets Act 2000 (Transitional Provisions) (Ombudsman and Complaints Scheme) Order 2001 (SI 2001/2326)	Article 15 (Record-keeping and reporting requirements relating to relevant complaints) of the Financial Services and Markets Act 2000 (Transitional Provisions) (Ombudsman and Complaints Scheme) Order 2001 (SI 2001/2326)
The Financial Services and Markets Act 2000 (Variation of Threshold Conditions) Order 2001 (SI 2001/2507)	None
Articles 4 (Pending applications), 6 (Post-commencement applications), 9 (Article 9 defaults occurring before commencement), 9A (Contributions in relation to mesothelioma claims), 10 (Applications in respect of compulsory liability insurance), 12 (Applications under the new scheme) and 23 (Record-keeping and reporting requirements relating to pre-commencement) of the Financial Services and Markets Act 2000 (Transitional Provisions, Repeals and Savings) (Financial	Articles 4 (Pending applications), 6 (Post-commencement applications), 9 (Article 9 defaults occurring before commencement), 9A (Contributions in relation to mesothelioma claims), 10 (Applications in respect of compulsory liability insurance), 12 (Applications under the new scheme) and 23 (Record-keeping and reporting requirements relating to pre-commencement) of the Financial Services and Markets Act 2000 (Transitional Provisions, Repeals

Column 1	Column 2
Provisions of other enactments under which the Authority made rules	Corresponding provisions: Financial Conduct Authority
Services Compensation Scheme) Order 2001 (SI 2001/2967).	and Savings) (Financial Services Compensation Scheme) Order 2001 (SI 2001/2967) subject to paragraphs 7 and 8 of this instrument.
Articles 9 (Designation of existing provisions to take effect as rules) and 10 (Modifications of existing provisions) of the Financial Services and Markets Act 2000 (Consequential Amendments and Transitional Provisions) (Credit Unions) Order 2002 (SI 2002/1501)	Section 137A(1)
Regulation 3 (Consumer contract requirements: modification of rule-making powers) of the Electronic Commerce Directive (Financial Services and Markets) Regulations 2002 (SI 2002/1775)	Regulation 3 (Consumer contract requirements: modification of rule-making powers) of the Electronic Commerce Directive (Financial Services and Markets) Regulations 2002 (SI 2002/1775)
Regulation 2 (Power of the Authority to make rules under section 138 of the Financial Services and Markets Act 2000) of the Financial Services and Markets Act 2000 (Fourth Motor Insurance Directive) Regulations 2002 (SI 2002/2706)	Regulation 2 (Power of the Authority to make rules under section 138 of the Financial Services and Markets Act 2000) of the Financial Services and Markets Act 2000 (Fourth Motor Insurance Directive) Regulations 2002 (SI 2002/2706) subject to paragraphs 7 and 8 of this instrument.
Article 9 (Record-keeping and reporting requirements relating to relevant transitional complaints) of the Financial Services and Markets Act 2000 (Transitional Provisions) (Complaints Relating to General Insurance and Mortgages) Order (SI 2004/454)	Article 9 (Record-keeping and reporting requirements relating to relevant transitional complaints) of the Financial Services and Markets Act 2000 (Transitional Provisions) (Complaints Relating to General Insurance and Mortgages) Order (SI 2004/454) subject to paragraphs 7 and 8 of this instrument.
Regulation 2(3) (Application for permission) of the Capital Requirements Regulations 2006 (SI 2006/3221)	Regulation 2(3) (Application for permission) of the Capital Requirements Regulations 2006 (SI 2006/3221)

Column 1	Column 2
Provisions of other enactments under which the Authority made rules	Corresponding provisions: Financial Conduct Authority
Regulation 82 (Reporting requirements) of the Payment Services Regulations 2009 (SI 2009/209)	Regulation 82 (Reporting requirements) of the Payment Services Regulations 2009 (SI 2009/209) subject to paragraphs 7 and 8 of this instrument.
Regulations 86 (Proposal to take disciplinary measures) and 93 (Guidance) of and paragraph 1 of Schedule 5 (Disciplinary powers) to the Payments Services Regulations 2009 (SI 2009/209)	Regulations 86 (Proposal to take disciplinary measures) and 93 (Guidance) of and paragraph 1 of Schedule 5 (Disciplinary powers) to the Payments Services Regulations 2009 (SI 2009/209) subject to paragraphs 7 and 8 of this instrument.
Regulation 92 (Costs of supervision) of the Payment Services Regulations 2009 (SI 2009/209)	Regulation 92 (Costs of supervision) of the Payment Services Regulations 2009 (SI 2009/209) subject to paragraphs 7 and 8 of this instrument.
Regulation 49 (Reporting requirements) of the Electronic Money Regulations 2011 (SI 2011/99).	Regulation 49 (Reporting requirements) of the Electronic Money Regulations 2011 (SI 2011/99) subject to paragraphs 7 and 8 of this instrument.
Regulation 59 (Costs of supervision) of the Electronic Money Regulations 2011 (SI 2011/99).	Regulation 59 (Costs of supervision) of the Electronic Money Regulations 2011 (SI 2011/99) subject to paragraphs 7 and 8 of this instrument.
Articles 3 (Further power for Authority to make rules concerning mesothelioma claims) and 4 (Modification of FSMA in relation to FSA rules for mesothelioma claims) of the Compensation Act 2006 (Contribution for Mesothelioma Claims) Regulations 2006 (SI 2006/3259)	None
Regulations 8 (Applications for registration), 9 (Applications for admission to the register of issuers), 18 (Notification requirements), 20 (Material changes to the regulated covered bond), 24 (Requirements relating to the asset pool), 25 (Change of owner), 36 (financial penalties policy statement), 46 (Modifications of primary and secondary legislation) of, and paragraph	Regulations 8 (Applications for registration), 9 (Applications for admission to the register of issuers), 18 (Notification requirements), 20 (Material changes to the regulated covered bond), 24 (Requirements relating to the asset pool), 25 (Change of owner), 36 (financial penalties policy statement), 46 (Modifications of

Column 1	Column 2
Provisions of other enactments under which the Authority made rules	Corresponding provisions: Financial Conduct Authority
5 (fees) to the Schedule (Modifications to primary and secondary legislation) to, the Regulated Covered Bonds Regulations 2008 (SI 2008/346)	primary and secondary legislation) of, and paragraph 5 (fees) to the Schedule (Modifications to primary and secondary legislation) to, the Regulated Covered Bonds Regulations 2008 (SI 2008/346) subject to paragraphs 7 and 8 of this instrument.

Part 3

Column 1	Column 2
Provisions of the 2000 Act under which the Authority issued codes	Corresponding provisions: Financial Conduct Authority
Section 64(2) (Conduct: statements and codes)	Section 64(2)
Section 119 (The code)	Section 119
Section 120 (Provisions included in the Authority's code by reference to the City Code)	Section 120
Section 121 (Codes: procedure)	Section 121

Part 4

Column 1	Column 2
Provisions of the 2000 Act under which the Authority issued statements	Corresponding provisions: Financial Conduct Authority
Section 63C(1) (Statement of policy)	Section 63C(1)
Section 64 (Conduct: statements and codes)	Section 64
Section 64(1) (Conduct: statements and codes)	Section 64(1)
Section 69 (Statement of policy) (including as applied by paragraph 1 of Schedule 5 to the Payment Services Regulations 2009 (SI 2009/209) and paragraph 1 of Schedule 3 to the Electronic Money Regulations 2011 (SI 2011/99).)	Section 69
Section 69(1) (Statement of policy)	Section 69(1)
Section 93 (Statement of policy)	Section 93
Section 93(1) (Statement of policy)	Section 93(1)
Section 124 (Statement of policy)	Section 124
Section 124(1) (Statement of policy)	Section 124(1)
Section 131J(1) (Statement of policy)	Section 131J(1)
Section 165B(6) (Safeguards etc in relation to exercise of power under section 165A)	None
Section 169(9) (Investigations etc in support of overseas regulator) (including as applied by paragraph 3 of Schedule 5 to the Payment Services Regulations 2009 (SI 2009/209) and paragraph 3 of Schedule 3 to the Electronic Money Regulations 2011 (SI 2011/99).)	Section 169(9)
Section 210 (Statements of policy) (including as applied by regulation 86(6) of the Payment Services Regulations 2009 (SI 2009/209) and regulation 53 (6) of the Electronic Money Regulations 2011 (SI 2011/99).)	Section 210
Section 210(1) (Statements of policy)	Section 210(1)

Column 1	Column 2
Provisions of the 2000 Act under which the Authority issued statements	Corresponding provisions: Financial Conduct Authority
Section 395 (The Authority's procedures) (including as applied by paragraph 7 of Schedule 5 to the Payment Services Regulations 2009 (SI 2009/209) and paragraph 8 of Schedule 3 to the Electronic Money Regulations 2011 (SI 2011/99).)	Section 395
Section 395(5) (The Authority's procedures)	Section 395(5)
Section 404(3) (Consumer redress schemes)	Section 404(3)
Section 404A (Rules under s404: supplementary)	Section 404A

Part 5

Column 1	Column 2
Provisions of other enactments under which the Authority issued statements	Corresponding provisions: Financial Conduct Authority
Regulation 42 (Guidance) of the Regulated Covered Bonds Regulations 2008 (SI 2008/346)	Regulation 42 (Guidance) of the Regulated Covered Bonds Regulations 2008 (SI 2008/346) subject to paragraphs 7 and 8 of this instrument.
Regulation 44 (Warning notices and decision notices) of the Regulated Covered Bonds Regulations 2008 (SI 2008/346)	Regulation 44 (Warning notices and decision notices) of the Regulated Covered Bonds Regulations 2008 (SI 2008/346) subject to paragraphs 7 and 8 of this instrument.
Regulation 93 (Guidance) of the Payment Services Regulations 2009 (SI 2009/209)	Regulation 93 (Guidance) of the Payment Services Regulations 2009 (SI 2009/209) subject to paragraphs 7 and 8 of this instrument.
Regulation 60 (Guidance) of the Electronic Money Regulations 2011 (SI 2011/99).	Regulation 60 (Guidance) of the Electronic Money Regulations 2011 (SI 2011/99) subject to paragraphs 7 and 8 of this instrument.

Part 6

Column 1	Column 2
Provisions of the 2000 Act under which the Authority directed, required or specified:	Corresponding provisions: Financial Conduct Authority
Section 51 (Applications under this Part)	Section 55U
Section 60 (Applications for approval)	Section 60
Section 148(3) (Modification or waiver of rules)	Section 138A(3)
Section 182 (Notification)	Section 179
Section 218A (Authority's power to require information)	Section 218A
Section 242 (Applications for authorisation of unit trust schemes)	Section 242
Section 250 (Modification or waiver of rules)	Section 250
Sections 250(4) and (5) (Modification or waiver of rules)	Sections 250(4) and (5)
Section 270(6)(b) (Schemes authorised in designated countries or territories)	Section 270(6)(b)
Section 274 (Applications for recognition of individual schemes)	Section 274
Section 287 (Application by an investment exchange)	Section 287
Section 294 (Modification or waiver of rules)	Section 294
Section 294(2) (Modification or waiver of rules)	Section 294(2)
Section 316 (Direction by Authority)	Section 316
Section 317 (The core provisions)	Section 317
Section 318 (Exercise of powers through Council)	Section 318
Paragraph 5(4) (Notice to Authority) of	Paragraph 5(4) (Notice to UK Regulator)

Column 1	Column 2
Provisions of the 2000 Act under which the Authority directed, required or specified:	Corresponding provisions: Financial Conduct Authority
Schedule 4 (Treaty Rights)	of Schedule 4 (Treaty Rights)

Part 7

Column 1	Column 2
Provisions of other enactments under which the Authority directed, required or specified	Corresponding provisions: Financial Conduct Authority
Regulations 7(3) and (4) (Modification or waiver of FSA rules) and 12 (Application for authorisation) of the Open-Ended Investment Companies Regulations 2001 (SI 2001/1228)	Regulations 7(3) and (4) (Modification or waiver of FSA rules) and 12 (Application for authorisation) of the Open-Ended Investment Companies Regulations 2001 (SI 2001/1228) subject to paragraphs 7 and 8 of this instrument.
Regulation 49 (Reporting requirements) of the Electronic Money Regulations 2011 (SI 2011/99).	Regulation 49 (Reporting requirements) of the Electronic Money Regulations 2011 (SI 2011/99) subject to paragraphs 7 and 8 of this instrument.

Part 8

Column 1	Column 2
Provisions of the 2000 Act under which the Authority made complaints schemes	Corresponding provisions: Financial Conduct Authority
Paragraph 7 (Arrangements for the investigation of complaints) of Schedule 1 (The Financial Services Authority)	Part 6 of the Financial Services Act 2012

Part 9

Column 1	Column 2
Provisions of the 2000 Act under which the Authority gave guidance	Corresponding provisions: Financial Conduct Authority
Section 157 (Guidance)	Section 139A
Section 157(1) (Guidance)	Section 139A(1)
Section 158A (Guidance on outsourcing by investment firms and credit institutions)	None

Part 10

Column 1	Column 2
Provisions of other enactments under which the Authority gave guidance	Corresponding provisions: Financial Conduct Authority
Article 11(1) (Guidance) of the Financial Services and Markets Act 2000 (Transitional Provisions and Savings) (Rules) Order 2001 (SI 2001/1534)	Section 139A(1)
Article 14 (Guidance on continued provisions) of the Financial Services and Markets Act 2000 (Consequential Amendments and Transitional Provisions) (Credit Unions) Order 2002 (SI 2002/1501)	Article 14 (Guidance on continued provisions) of the Financial Services and Markets Act 2000 (Consequential Amendments and Transitional Provisions) (Credit Unions) Order 2002 (SI 2002/1501)
Articles 9D (Applications for certificates) and 9F (Revocation of certificate on request) of the Regulated Activities Order	Articles 9D (Applications for certificates) and 9F (Revocation of certificate on request) of the Regulated Activities Order
Articles 9G (Obtaining information from certified persons etc) and 9H (Rules prohibiting the issue of electronic money at a discount) of the Regulated Activities Order	Articles 9G (Obtaining information from certified persons etc) and 9H (Rules prohibiting the issue of electronic money at a discount) of the Regulated Activities Order
Section 123 of the Banking Act 2009	Section 123 of the Banking Act 2009
Regulation 14 (Guidance) of the Cross-Border Payments in Euro Regulations 2010 (SI 2010/89)	Regulation 15 of the Payments in Euro (Credit Transfers and Direct Debits) Regulations 2012 (SI 2012/3122)
Regulation 60 (Guidance) of the Electronic Money Regulations 2011 (SI 2011/99).	Regulation 60 (Guidance) of the Electronic Money Regulations 2011 (SI 2011/99) subject to paragraphs 7 and 8 of this instrument.

Schedule B

**Designation of certain rules in the
Prudential sourcebook for Banks, Building Societies and Investment Firms
(BIPRU), the Principles for Businesses (PRIN), the Interim Prudential sourcebook
for Insurers (IPRU-INS), the Interim Prudential sourcebook for Friendly Societies
(IPRU-FSOC), the Fees Manual (FEES), the Conduct of Business Sourcebook
(COBS), the Supervision Manual (SUP), the Collective Investment Schemes
sourcebook (COLL), the Building Societies Regulatory Guide (BSOG), the
Prospectus Rules (PR), the Disclosure and Transparency Rules (DTR), the Financial
Crime Guide (FC) and the Perimeter Guidance Manual (PERG)**

Provision	Table/row refs (for Annexes, TPs and Schedule)	Status letter	FCA designated	Delete FCA designation
BIPRU 7.11.20		R	X	
PRIN 1.1.1		G	X	
IPRU (INS) 1.2		R	X	
IPRU (INS) 3.3		R	X	
IPRU (INS) 12.7		G	X	
IPRU (FSOC) Annexes: Annex 1 Annex 2. 3G and 5G Annex 3 Part I -1 Annex 3 Part I - 5, and 10-12 Annex 3 Part I 17-19		G		X
FEES 6.1.16A		G	X	
COBS 4.2.5		G	X	
SUP 2.3.1		G	X	
SUP 12.1.1		R	X	

COLL 4.2.3B		R	X	
COLL 4.5.16		R	X	
COLL 5.2.4		R	X	
COLL 5.2.5		R	X	
BSOG 2.6.1A		G		X
PR 2.3.1A		[EU]	X	
PR 3.2.4A		R	X	
DTR 1A.1.4		G	X	
DTR 1A.4.1		R	X	
FC Part 1, Box 3.15-3.16		G	X	
FC Part 2, Box 14		G	X	
PERG 8.29.7		G	X	