

**DISCLOSURE RULES AND TRANSPARENCY RULES SOURCEBOOK
(AMENDMENT NO 5) INSTRUMENT 2012**

Powers exercised

- A. The Financial Services Authority makes this instrument in the exercise of the following powers and related provisions in the Financial Services and Markets Act 2000 (“the Act”):
- (1) section 73A (Part 6 Rules);
 - (2) section 89A (Transparency rules);
 - (3) section 89B (Provision of voteholder information);
 - (4) section 89C (Provision of information by issuers of transferable securities);
 - (5) section 89D (Notification of voting rights held by issuer);
 - (6) section 89F (Transparency rules: interpretation etc);
 - (7) section 89G (Transparency rules: other supplementary provisions);
 - (8) section 101 (Part 6 rules: general provisions);
 - (9) schedule 7 (The Authority as Competent Authority for Part VI).
- B. The rule-making powers listed above are specified for the purpose of section 153(2) (Rule-making instruments) of the Act.

Commencement

- C. This instrument comes into force on 1 February 2012.

Amendments to the Handbook

- D. The Disclosure Rules and Transparency Rules sourcebook (DTR) is amended in accordance with the Annex to this instrument.

Citation

- E. This instrument may be cited as the Disclosure Rules and Transparency Rules Sourcebook (Amendment No 5) Instrument 2012.

By order of the Board
19 January 2012

Annex

Amendments to the Disclosure Rules and Transparency Rules sourcebook (DTR)

In this Annex, underlining indicates new text.

Misleading information not to be published

- 1A.3.2 R An *issuer* must take all reasonable care to ensure that any information it notifies to a *RIS* is not misleading, false or deceptive and does not omit anything likely to affect the import of the information.
- 1A.3.2.A R The duty imposed by *DTR* 1A.3.2R does not apply to an *issuer's* obligation under *DTR* 5.8.12R to make public the information contained in a voteholder notification made to it under *DTR* 5.1.2R.