

LISTING RULES (SPONSORS) (AMENDMENT NO 2) INSTRUMENT 2012

Powers exercised

- A. The Financial Services Authority makes this instrument in the exercise of the following powers and related provisions in the Financial Services and Markets Act 2000:
- (1) section 73A (Part 6 Rules);
 - (2) section 88 (Sponsors);
 - (3) section 89 (Public censure of sponsor);
 - (4) section 96 (Obligations of issuers of listed securities);
 - (5) section 101 (Part 6 Rules: general provisions); and
 - (6) schedule 7 (The Authority as Competent Authority for Part VI).

Commencement

- B. This instrument comes into force on 1 October 2012.

Amendments to the Handbook

- C. The Listing Rules sourcebook (LR) is amended in accordance with the Annex to this instrument.

Notes

- D. In the Annex to this instrument, the “note” (indicated by “**Note:**”) is included for the convenience of readers but does not form part of the legislative text.

Citation

- E. This instrument may be cited as the Listing Rules (Sponsors) (Amendment No 2) Instrument 2012.

By order of the Board
27 September 2012

Annex**Amendments to the Listing Rules sourcebook (LR)**

In this Annex, underlining indicates new text and striking through indicates deleted text.

Annual notifications

- 8.7.7 R A sponsor must provide to the FSA ~~on an annual basis~~ on or after the first business day of January in each year but no later than the last business day of January in each year:
- (1) written confirmation that it continues to satisfy the criteria for approval as a *sponsor* as set out in LR 8.6.5R; and
 - (1A) for each of the criteria in that rule, details of the basis upon which it considers that it meets the criteria.
 - (2) [deleted]
 - (3) [deleted]
 - (4) [deleted]
- 8.7.7A R Written confirmation must be provided by submitting a completed Sponsor Annual Notification Form to the FSA at the FSA's address.
- [Note: The Sponsor Annual Notification Form can be found on the UKLA section of the FSA's website.]