DISCLOSURE RULES AND TRANSPARENCY RULES SOURCEBOOK (AMENDMENT NO 3) INSTRUMENT 2010

Powers exercised

- A. The Financial Services Authority makes this instrument in the exercise of the following powers and related provisions in or under the Financial Services and Markets Act 2000 ("the Act"):
 - (1) section 73A (Part 6 rules);
 - (2) section 890 (Corporate governance rules);
 - (3) section 101 (Part 6 rules: general provisions);
 - (4) section 156 (General supplementary powers);
 - (5) section 157(1) (Guidance); and
 - (6) schedule 7 (The Authority as Competent Authority for Part VI).
- B. The rule-making powers listed above are specified for the purpose of section 153(2) (Rule-making instruments) of the Act.

Commencement

C. This instrument comes into force on 6 August 2010.

Amendments to the Handbook

D. The Disclosure Rules and Transparency Rules sourcebook (DTR) is amended in accordance with the Annex to this instrument.

Citation

E. This instrument may be cited as the Disclosure Rules and Transparency Rules Sourcebook (Amendment No 3) Instrument 2010.

By order of the Board 22 July 2010

Annex

Amendments to the Disclosure and Transparency Rules sourcebook (DTR)

In this Annex, underlining indicates new text.

Application: Corporate governance statements

. . .

1B.1.5A G LR 9.8.7AR, LR 14.3.24R and LR 18.4.3R(2) extend the application of DTR 7.2 (Corporate governance statements) for certain overseas companies which have securities admitted to the official list maintained by the FSA in accordance with section 74 (The official list) of the Act.