

**FEES Manual (Financial Ombudsman Service Case Fees 2006/07) Instrument
2006**

Powers exercised

- A The Financial Ombudsman Service Limited amends the Financial Services Authority's FEES Manual (FEES), in the exercise of the following powers in the Financial Services and Markets Act 2000:
- (1) Schedule 17 paragraph 15 (Fees):
 - (2) Schedule 17 paragraph 18 (Terms of reference to the scheme).

Approval

- B The amendments have not yet been approved by the Financial Services Authority. They are therefore made subject to the approval of the Financial Services Authority.

Commencement

- C This instrument comes into force on 1 April 2006 subject to the approval of the Financial Services Authority having been received before that time.

Amendment of the FEES Manual

- D The Board specifies the amounts to be included in Part 3 and Part 4 of FEES 5 Ann 1R as indicated in the Annex to this Instrument.

Citation

- E This instrument may be cited as the FEES Manual (Financial Ombudsman Service Case Fees 2006/07) Instrument 2006.

By order of the Board of the Financial Ombudsman Service Limited:
2 March 2006

Annex

After part 2 of FEES 5 Annex 1R insert the following text:

Part 3: Case fees

Table: Standard case fees and special case fees

Compulsory jurisdiction – case fee table		
case fee		
standard case fee	£360	(for the third chargeable complaint and any subsequent chargeable complaint in any financial year)
special case fee	£475	(for the third chargeable complaint and any subsequent chargeable complaint in any financial year)
The definitions of <i>standard case fee</i> and <i>special case fee</i> are in <i>FEES 5.5</i> , replacing <i>DISP 5.6</i> (case fees), in the <i>FSA Handbook</i> .		
The definition of a <i>chargeable case</i> is in the Glossary to the <i>FSA Handbook</i>		

Part 4: VJ participants

Table: Fee tariffs and case fees for VJ participants

Voluntary jurisdiction – general levy tariff and case fee table					
industry block and business activity		tariff basis	tariff rate	minimum levy	case fee*
1V	deposit acceptors, <i>mortgage lenders</i> and <i>administrators</i> , including debit/charge card issuers	number of relevant accounts	£0.0042	£100	£360
2V	<i>firms</i> undertaking insurance activities subject only to prudential regulation	per £1,000 of relevant annual gross premium income	£0.052	£100	£360
3V	<i>firms</i> undertaking insurance activities subject to prudential and conduct of business regulation	Per £1,000 of relevant adjusted annual gross premium income	£0.12	£100	£360
6V	intermediaries	n/a	n/a	£50	£360
8V	National Savings	n/a	n/a	£10,000	£360
<p>*note on case fees</p> <p>As for the compulsory jurisdiction, <i>firms</i> will only be charged for the third and subsequent chargeable case in any financial year.</p>					