

**SUPERVISION MANUAL (INSURANCE CONGLOMERATES REPORTING  
AMENDMENT) INSTRUMENT 2006**

**Powers exercised**

- A. The Financial Services Authority makes this instrument in the exercise of the following powers and related provisions in the Financial Services and Markets Act 2000 (“the Act”):
- (1) section 138 (General rule-making power);
  - (2) section 156 (General supplementary powers); and
  - (3) section 340 (Appointment).
- B. The rule-making powers listed above are specified for the purpose of section 153(2) (Rule-making instruments) of the Act.

**Commencement**

- C. This instrument comes into force on 31 December 2006.

**Amendments to the Handbook**

- D. The Supervision manual (SUP) is amended in accordance with the Annex to this instrument.

**Citation**

- E. This instrument may be cited as the Supervision Manual (Insurance Conglomerates Reporting Amendment) Instrument 2006.

By order of the Board  
21 December 2006

## Annex

### Amendments to the Supervision manual

In this Annex, underlining indicates new text and striking through indicates deleted text.

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16.7.83	R	Table: Financial reports from a member of a financial conglomerate (see SUP 16.7.82R)	
...			
		<p>...</p> <p>Note 2 = If Part 1 of PRU 8 Annex 1<u>R</u> (method 1), Part 2 of PRU 8 Annex 1<u>R</u> (method 2), or Part 3 of PRU 8 Annex 1<u>R</u> (method 3) applies, there is no specific form. Adequate information must be provided, and each <i>financial conglomerate</i> for which the FSA is the <i>co-ordinator</i> must discuss with the FSA how to do this.</p> <p>If Part 4 of PRU 8 Annex 1<u>R</u> applies (method 4):</p>	
		(1)	...
		(2)	...
		(3)	...
		(4)	<p>an <i>insurance conglomerate</i> must use:</p> <p>(a) <u>(where SUP 16.7.82R(1)(a) applies), Forms 1, 2 and 3 in Appendix 9.1 of IPRU(INS), as appropriate, prepared and audited in accordance with rule 9.35(1) of IPRU(INS); or</u></p> <p>(b) <u>(in any other case), the Parent Undertaking Insurance Group Capital Adequacy Reporting Format example (Form 95) in GN 10.1 Appendix 9.9 of IPRU(INS).</u></p> <p><u>For the purposes of (b) above, rules 9.40(1), 9.40(1A), 9.40(3) and 9.40(4) of IPRU(INS) apply as they would if the insurance conglomerate were an insurance group.</u></p>