

**TRAINING AND COMPETENCE SOURCEBOOK
(AMENDMENT NO 5) INSTRUMENT 2002**

Powers exercised

- A. The Financial Services Authority makes this instrument in the exercise of the following powers and related provisions in the Financial Services and Markets Act 2000 (the "Act"):
- (1) section 138 (General rule-making power);
 - (2) section 156 (General supplementary powers).
- B. The rule-making powers listed above are specified for the purpose of section 153(2) of the Act (Rule-making instruments).

Commencement

- C. This instrument comes into force on 1 October 2002.

Amendments to the Training and Competence sourcebook

- D. The Training and Competence sourcebook is amended in accordance with the Annex to this instrument.

Citation

- E. This instrument may be cited as the Training and Competence Sourcebook (Amendment No 5) Instrument 2002.

By order of the Board
19 September 2002

Annex

Amendment to the Training and Competence Sourcebook

In this Annex, underlining indicates new text and striking through indicates deleted text.

Where?

- 2.1.2 R (1) This chapter applies to a *UK domestic firm* in respect of its *employees* who engage in or oversee activities (to the extent indicated in *TC 2.1.4 R*) ~~wherever they are carried on;~~
- (a) from an establishment maintained by the *firm* (or its *appointed representative*) in the *United Kingdom*; or
 - (b) with or for a *client* in the *United Kingdom*.