

**LISTING RULES (FINANCIAL SERVICES AND MARKETS ACT 2000  
AMENDMENT) INSTRUMENT 2001**

**Powers exercised**

- A. The Financial Services Authority makes this instrument in the exercise of the powers and related provisions listed in Annex 1 (Powers exercised).

**Commencement**

- B. This instrument comes into force at the beginning of the day on which section 72 of the Act (The competent authority) comes into force.

**Amendments to the Listing Rules**

- C. The Listing Rules are amended in accordance with Annex 2 to this instrument.

**Guidance**

- D. The Financial Services Authority gives the guidance in Annex 3 to this instrument.

**Citation**

- E. This instrument may be cited as the Listing Rules (Financial Services and Markets Act 2000 Amendment) Instrument 2001.

By order of the Board  
18 October 2001

## ANNEX 1

### **The Listing Rules – powers exercised**

1. The following powers and related provisions in the Financial Services and Markets Act 2000 are exercised by the FSA to amend the Listing Rules:
  - (a) sections 74 and 75
  - (b) section 77
  - (c) section 79 to 89 (inc)
  - (d) section 91
  - (e) section 96
  - (f) section 98 to 101 (inc)

The fining policy is published in accordance with section 93

2. The following power in the Act is exercised by the FSA to give guidance:
  - section 157(1).

## **ANNEX 2**

The Listing Rules are amended as shown in the document attached (underlining indicates new text, striking through indicates deleted text).

[attach the Listing Rules with changes shown]

### **ANNEX 3**

Guidance is given as shown in the document attached.

[attach the Guidance Manual]