FSA 2001/47

AUTHORISATION MANUAL (AMENDMENT NO 2) INSTRUMENT 2001

Powers exercised

A. The Financial Services Authority amends the Authorisation manual in the exercise of the power in section 157(1) of the Financial Services and Markets Act 2000 (Guidance).

Commencement

B. This instrument comes into force immediately.

Amendments to the Authorisation manual

C. The Authorisation manual is amended in accordance with the Annex to this instrument.

Citation

D. This instrument may be cited as the Authorisation Manual (Amendment No 2) Instrument 2001.

By order of the Board

18 October 2001

ANNEX

AUTH 1.1.3G(2)	replace "Fees [to be added later]" with
	"Authorisation Fees"
AUTH 1.3.2G	replace "[to be added later]" with "(Authorisation
	Fees)"
AUTH 1.7.2G(2)	replace "in" with "is"
AUTH 2.7.7G(1)	replace "making arrangements with a view to
	transactions in investments" with "arranging
	(bringing about) deals in investments"
AUTH 2.7.7G(2)	replace "arranging (bringing about) deals in
	investments" with "making arrangements with a
	view to transactions in investments"
AUTH 2.9.1G	in the third sentence, after "syndicate as" insert "a"
AUTH 2 Ann 2G 1.1	in the second sentence, after "of" insert "the"
AUTH 3.6.3G(4)	replace "[]" with "()"
AUTH 3.9.4G(1)	replace "[to be added]" with "(Authorisation Fees)"
AUTH 3.9.28G	in the final sentence, after "conditions" insert
	"being met"
AUTH 3.12.2G	delete "[to be finalised]"
AUTH 6.1.1G(3)	after "candidate" insert ", but only as a matter of
	general relevance"
AUTH 6.2.7G	italicise "controlled functions"
AUTH 6.2.9G	replace "4G" with "4D"
AUTH 6.3.9G	de-italicise "approval"
AUTH 6.5	heading, replace "Notifiable" with "Notified"
AUTH 6.5.1G(3)	replace "," with ":"
AUTH 6.5.1G(4)	delete "a UK branch of"
	replace "," with ":"
	replace "United Kingdom" with "UK"
AUTH 6.5.2G	replace "Notifiable" with "Notified"