

List of non-legal corrections and clarifications in the FCA Handbook

This document lists the minor corrections and clarifications that we have made to the Handbook, other than those made by [Handbook Administration instrument](#). These changes are regarded as having no legal effect. None of these changes represents a change in policy.

In this list, underlining indicates new text and striking through indicates deleted text.

Handbook reference	Text of change made	Reason for change	Published date of change												
FCG 4.4.2	Action Fraud, which is the UK’s national fraud reporting centre: www.actionfraud.org.uk <u>www.actionfraud.police.uk</u>	Replacing incorrect link	07/02/2024												
DISP 3.7.4R	<table border="1"> <thead> <tr> <th data-bbox="432 842 831 898">Date complaint referred</th> <th colspan="2" data-bbox="831 842 1400 898">Date of act or omission</th> </tr> </thead> <tbody> <tr> <td data-bbox="432 898 831 994"></td> <td data-bbox="831 898 1113 994">Before 1 April 2019</td> <td data-bbox="1113 898 1400 994">On or after 1 April 2019</td> </tr> <tr> <td data-bbox="432 994 831 1050">on or after 1 April 2023</td> <td data-bbox="831 994 1113 1050">£190,000</td> <td data-bbox="1113 994 1400 1050">£415,000</td> </tr> <tr> <td data-bbox="432 1050 831 1114"><u>on or after 1 April 2024</u></td> <td data-bbox="831 1050 1113 1114"><u>£195,000</u></td> <td data-bbox="1113 1050 1400 1114"><u>£430,000</u></td> </tr> </tbody> </table>	Date complaint referred	Date of act or omission			Before 1 April 2019	On or after 1 April 2019	on or after 1 April 2023	£190,000	£415,000	<u>on or after 1 April 2024</u>	<u>£195,000</u>	<u>£430,000</u>	Administrative change	11/03/2024
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<p>SUP 15 Annex 4</p>	<p>This annex consists only of one or more forms. Forms are to be found through the following address:</p> <p>Notifications Form</p> <p>SUP 15 Annex 4</p> <p>https://www.fca.org.uk/firm-notification-form-sup-15</p> <p>[Editor's note: the text 'SUP 15 Annex 4' was previously hyperlinked to https://www.handbook.fca.org.uk/form/sup/SUP_15_ann_04.pdf]</p>	<p>To change the link through which firms can access the notification form at SUP 15 Annex 4. There are no changes to the form.</p>	<p>03/04/2024</p>
<p>MAR 9 Annex 3</p>	<p>https://www.fca.org.uk/publication/forms/drsp-variation-authorisation-form.docx https://www.fca.org.uk/publication/forms/drsp-variation-authorisation-form.docx</p>	<p>Administrative amendment</p>	<p>05/04/2024</p>
<p>MAR 9 Annex 4</p>	<p>https://www.fca.org.uk/publication/forms/drsp-cancellation-form.docx https://www.fca.org.uk/publication/forms/drsp-cancellation-form.docx</p>	<p>Administrative amendment</p>	<p>05/04/2024</p>
<p>MAR 9 Annex 5</p>	<p>https://www.fca.org.uk/publication/forms/drsp-material-change-notification.docx https://www.fca.org.uk/publication/forms/drsp-material-change-notification.docx</p>	<p>Administrative amendment</p>	<p>05/04/2024</p>
<p>MAR 9 Annex 6</p>	<p>https://www.fca.org.uk/publication/forms/drsp-changes-to-management-body-members.docx https://www.fca.org.uk/publication/forms/drsp-changes-to-management-body-members.docx</p>	<p>Administrative amendment</p>	<p>05/04/2024</p>
<p>MAR 9 Annex 8</p>	<p>https://www.fca.org.uk/publication/forms/drsp-yearly-notification-form.docx https://www.fca.org.uk/publication/forms/drsp-yearly-notification-form.docx</p>	<p>Administrative amendment</p>	<p>05/04/2024</p>

MAR 9 Annex 9	https://www.fca.org.uk/publication/forms/drsp-ad-hoc-change-notification.doc https://www.fca.org.uk/publication/forms/drsp-ad-hoc-change-notification.docx	Administrative amendment	05/04/2024
SUP 16 Annex 38B	This annex consists only of one or more forms. Forms are to be found through the following address: SUP 16 Annex 38B	Correction of an administrative error – this text was mistakenly reinserted on 13 April 2018 after having been deleted by FCA 2017/35	13/05/2024

This document was last updated in May 2024.

If you have any comments on this list please email, please email handbook.feedback@fca.org.uk.