

## List of non-legal corrections and clarifications in the FCA Handbook

This document lists the minor corrections and clarifications that we have made to the Handbook, other than those made by [Handbook Administration instrument](#). These changes are regarded as having no legal effect. None of these changes represents a change in policy.

In this list, underlining indicates new text and striking through indicates deleted text.

Handbook reference	Text of change made	Reason for change	Published date of change
CONRED 4 Annex 18G	Summary of scheme flow diagram [CONRED <del>4.1.11R</del> <u>4.1.11G</u> ]	Administrative change	12/01/2023
COBS 4 Annex 3R	I am aware that it is open to me <del>to</del> seek advice from an authorised person who specialises in advising on non-readily realisable securities.	Typesetting error	14/02/2023
SYSC 19G.6.4(2)(b)	(b) the payment of <del>performance</del> <u>performance-based</u> remuneration is spread over a period that takes account of the business cycle of the firm and its business risks.	Administrative change	14/02/2023
CONRED 3.2.5R	CONRED 3.2.5R is missing the 'R' (i.e. for Rule)	Typesetting error	23/02/2023
DISP 3.7.4R	Please can you add the following row?	Administrative change	08/03/2023

	date complaint referred	date of act or omission				
		before 1 April 2019	on or after 1 April 2019			
	...					
	on or after 1 April 2022	£170,000	£375,000			
	on or after 1 April 2023	£190,000	£415,000			
DISP 2.1.6A	This chapter applies to <del>a</del> any TP firm who is or was, at the time of the act or omission, giving rise to the claim against it, a TP firm. This rule demonstrates the contrary intention under GEN 2.2.26R.			Administrative change	18/05/2023	
GEN 2.1.2	GEN 2.1.2R			Administrative change	28/07/2023	
UNFCOG 2.1.1.1	Link in text is broken. Please replace with <a href="https://www.fca.org.uk/firms/unfair-contract-terms/library">https://www.fca.org.uk/firms/unfair-contract-terms/library</a>			Broken link	02/8/2023	
Glossary	Reinstate introductory wording in definition of 'controlled' activity:			Administrative change - text was inadvertently removed from the online	17/08/2023	

	(in accordance with section 21(9) of the Act (The classes of activity and investment)) any of the following activities specified in Part 1 of Schedule 1 to the Financial Promotions Order (Controlled Activities):  (a) <i>accepting deposits</i> (paragraph 1)  ...	version of the Handbook.	
SYSC 6.1 and 8.1	Link in opening note for both chapters is broken. Please replace with: <a href="#">esma35-36-1946 final report guidelines on certain aspects of the mifid ii compliance_function.pdf (europa.eu)</a>	Replacing outdated link	8/9/2023
COBS 9A.2.15	[ <b>Note:</b> article <del>54(8)</del> <u>54(6)</u> of the <i>MiFID Org Regulation</i> ]	Correcting administrative error	20/09/2023
COBS 9A.2.18A	[ <b>Note:</b> article <del>13</del> <u>9(7)</u> of the <i>IDD Regulation</i> ]	Correcting administrative error	20/09/2023
COBS 9A.2.19	[ <b>Note:</b> article <del>54(13)</del> <u>54(9)</u> of the <i>MiFID Org Regulation</i> ]	Correcting administrative error	20/09/2023
COBS 9A.2.20	[ <b>Note:</b> article <del>54(13)</del> <u>54(10)</u> of the <i>MiFID Org Regulation</i> ]	Correcting administrative error	20/09/2023
COBS 9A.2.20A	[ <b>Note:</b> article <del>13</del> <u>9(6)</u> of the <i>IDD Regulation</i> ]	Correcting administrative error	20/09/2023
COBS 9A.2.23	[ <b>Note:</b> article <del>54(13)</del> <u>second paragraph of article 54(1)</u> of the <i>MiFID Org Regulation</i> ]	Correcting administrative error	20/09/2023

COBS 9A.2.24	[ <b>Note:</b> article <del>13</del> <u>12</u> of the <i>IDD Regulation</i> ]	Correcting administrative error	20/09/2023
COBS 9A.3.1	[ <b>Note:</b> article <del>54</del> ( <del>13</del> ) <u>first paragraph of article 54(1)</u> of the <i>MiFID Org Regulation</i> ]	Correcting administrative error	20/09/2023
COBS 9A.3.1A	[ <b>Note:</b> article <del>13</del> <u>11</u> of the <i>IDD Regulation</i> ]	Correcting administrative error	20/09/2023
COBS 9A.3.3	[ <b>Note:</b> article <del>54</del> ( <del>13</del> ) <u>54(12)</u> of the <i>MiFID Org Regulation</i> ]	Correcting administrative error	20/09/2023
COBS 9A.3.3A	[ <b>Note:</b> article <del>13</del> <u>14(1) to (3)</u> of the <i>IDD Regulation</i> ]	Correcting administrative error	20/09/2023
COBS 9A.3.8	[ <b>Note:</b> article <del>54</del> ( <del>13</del> ) <u>52(5)</u> of the <i>MiFID Org Regulation</i> ]	Correcting administrative error	20/09/2023
COBS 9A.3.10	[ <b>Note:</b> article <del>13</del> <u>14(4)</u> of the <i>IDD Regulation</i> ]	Correcting administrative error	20/09/2023
COBS 9A.4.3	[ <b>Note:</b> article <del>13</del> <u>19(1)</u> of the <i>IDD Regulation</i> ]	Correcting administrative error	20/09/2023
COBS 9A.4.4	Delete COBS 9A.4.4EU	Correcting administrative error – COBS 9A.4.4EU duplicates COBS 9A.4.4UK	20/09/2023

This document was last updated in September 2023.

If you have any comments on this list please email [handbook.feedback@fca.org.uk](mailto:handbook.feedback@fca.org.uk).