

# Appendix 11

## QRG: special considerations

### 11.1 Special considerations

**App11.1.1** G Please refer to ■ **WDPG 4** to identify which factors might apply and consider incorporating them into the wind-down planning.

**App11.1.2** G In particular, if a *firm* has any dealing with *client monies* and *assets*, it needs to ensure it complies with the relevant *rules* in *CASS*.

**App11.1.3** G *Firms* should also consider having a communications plan in place.