

Appendix 11

QRG: special considerations

11.1 Special considerations

App11.1.1 G Please refer to ■ **WDPG 4** to identify which factors might apply and consider incorporating them into the wind-down planning.

App11.1.2 G In particular, if a *firm* has any dealing with *client monies* and *assets*, it needs to ensure it complies with the relevant *rules* in *CASS*.

App11.1.3 G *Firms* should also consider having a communications plan in place.