




Appendix 1

Quick Reference Guide (QRG)

1.1 Overview

- App 1.1.1**  This Quick Reference Guide (QRG) (*WDPG* Apps 1 to 12) may help solo-regulated *firms* to put the theory of wind-down planning into practice.
- App 1.1.2**  This QRG is **not** a definitive checklist for wind-down planning as no two *firms* are identical and the actual wind-down planning process will depend on a *firm's* specific business and operating model. This QRG highlights the various components a *firm* can include when building its wind-down plan.
- App 1.1.3**  This QRG is written for all solo-regulated *firms*, but it may be particularly helpful to those carrying out wind-down planning for the first time.