

Chapter 22

Regulatory references



22.3 Drafting the reference and the request for a reference

- 22.3.1

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- 22.3.2

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- 22.3.3

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(1) A *firm* (A) asking another *firm* (B) for a reference should give B sufficient information to let B know that the requirements in this chapter apply to the reference it is being asked to give and which requirements apply.

(2) As long as it complies with (1), A does not have to set out specifically the information this chapter requires it to obtain. This is because B should include that information even though B is not specifically asked to include it.
- 22.3.4

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A *firm* asking for a reference under this chapter from a current or former *employer* that is not a *firm* will normally need to specify what information it would like.
- 22.3.5

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(1) [deleted] [Editor’s note: The text of this provision has been moved to ■ SYSC 22.4.6G(-1)]

(2) [deleted] [Editor’s note: The text of this provision has been moved to ■ SYSC 22.4.7G]
- 22.3.6

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[deleted] [Editor’s note: The text of this provision has been moved to ■ SYSC 22.4.8G]