

**Senior arrangements, Systems and Controls**

## Chapter 15A

# Operational resilience



**15A.3 Strategies, processes and  
systems**

- 15A.3.1** **R** A *firm* must have in place sound, effective and comprehensive strategies, processes and systems to enable it to comply with its obligations under this chapter.
  
- 15A.3.2** **R** The strategies, processes and systems required under **■ SYSC 15A.3.1R** must be comprehensive and proportionate to the nature, scale and complexity of the *firm's* activities.