

Chapter 8A

Directions and determinations
by the FCA waiving, varying or
disapplying CCA requirements



8A.4 Notification of altered circumstances relating to directions or waivers

- 8A.4.1** **R** A *firm* which has applied for or has been granted a direction or determination must notify the *FCA* immediately if it becomes aware of any matter which could affect the continuing relevance or appropriateness of the application or the direction or determination.
- 8A.4.2** **G** *Firms* are also referred to **■ SUP 15.6** (Inaccurate, false or misleading information). This requires a firm to notify the *FCA* if false, misleading, incomplete or inaccurate information has been provided (see **■ SUP 15.6.4 R**). This would apply in relation to information provided in an application for a direction or a determination.