Chapter 8A

Directions and determinations by the FCA waiving, varying or disapplying CCA requirements



8A.4 **Notification of altered** circumstances relating to directions or waivers

- 8A.4.1 A firm which has applied for or has been granted a direction or determination must notify the FCA immediately if it becomes aware of any matter which could affect the continuing relevance or appropriateness of the application or the direction or determination.
- G 8A.4.2 Firms are also referred to ■ SUP 15.6 (Inaccurate, false or misleading information). This requires a firm to notify the FCA if false, misleading, incomplete or inaccurate information has been provided (see ■ SUP 15.6.4 R). This would apply in relation to information provided in an application for a direction or a determination.