

Chapter 15

Notifications to the FCA

Form NOT005 Notification that there are problems with a dedicated interface under SCA-RTS article 33(3)

NOT005 - Notification that there are problems with a dedicated interface under SCA-RTS article 33(3)

	Name of service provider	
	FRN	
	Details of the person the FCA should contact in relation to this notification:	
	Title	
	First names	
	Surname	
	Position	
	Phone number	
	Email address	
Q1	In what capacity is the firm notifying?	<div><input type="checkbox"/> ASPSP</div> <div><input type="checkbox"/> PISP</div> <div><input type="checkbox"/> AISP</div> <div><input type="checkbox"/> CBPII</div>
	Details of the problem with the dedicated interface	
Q2	Is this a notification that the dedicated interface does not comply with SCA-RTS article 32?	<div>Yes <input type="checkbox"/> Continue to question 3</div> <div>No <input type="checkbox"/> If this is a notification of unplanned unavailability or a systems breakdown, go to question 4</div>
Q3	In what way is the dedicated interface failing to comply with article 32? (select the option which best describes the problem)	<div><input type="checkbox"/> The uptime of the dedicated interface, as measured by the key performance indicators described in Guidelines 2.2 and 2.4 of the EBA Guidelines on the conditions to be met to benefit from an exemption from contingency measures under article 33(6) of the SCA RTS, falls below the uptime of the interface used by the ASPSP's payment service users.</div> <div><input type="checkbox"/> There isn't the same level of support offered to AISPs and PISPs using the ASPSP's dedicated interface, in comparison to the customer interface.</div> <div><input type="checkbox"/> The dedicated interface poses obstacles to the provision of payment initiation and account information services (see SCA RTS article 32(3) and the EBA Guidelines on the conditions to benefit from an exemption from the contingency mechanism under article 33(6) of Regulation (EU) 2018/389 (RTS on SCA and CSC) published on 4 December 2018 (EBA/GL/2018/07) and Opinion on the implementation of the RTS on SCA and CSC (EBA-2018-Op-04)).</div>

Q4	<p>[Only complete if the answer to question 2 was no]</p> <p>What is the problem in relation to unplanned unavailability or a systems breakdown? (select the option which best describes the problem)</p>	<p><input type="checkbox"/> Other failure to comply with article 32.</p> <p><input type="checkbox"/> Unavailability after five consecutive requests of information on the initiation of the payment transaction and all information accessible to the account servicing payment service provider regarding the execution of the payment transaction.</p> <p><input type="checkbox"/> Unavailability after five consecutive requests of information from designated payment accounts and associated payment transactions made available to the payment service user when directly requesting access to the account information excluding sensitive payments data.</p> <p><input type="checkbox"/> Failure to provide to the card based payment instrument issuer (CBPII) or to the PISP a 'yes/no' confirmation in accordance with article 65(3) of PSD2 and article 36(1)(c) of the RTS.</p> <p><input type="checkbox"/> Other unplanned unavailability or systems breakdown.</p>
Q5	<p>Please give a brief description of the failure to comply with article 32 or the unplanned unavailability or systems breakdown. If an ASPSP, please provide the reason(s) for the problem and steps taken to resolve the issue.</p>	<p>Max 500 words</p>
Q6	<p>Time and date when the problem began</p> <p>Has the problem been resolved at the time of submitting this notification?</p>	<p>Yes/ No</p>