

Chapter 15

Notifications to the FCA

Form NOT004 Notification that the fraud rate exceeds the reference fraud rate under SCA-RTS article 20

NOT004 - Notification that the fraud rate exceeds the reference fraud rate under SCA-RTS article 20

	Name of service provider
	FRN
	Details of the person the FCA should contact in relation to this notification:
	Title
	First names
	Surname
	Position
	Phone number
	Email address
Q1	<div>Is this a notification that one or more monitored fraud rates for remote electronic card-based payments or remote electronic credit transfers exceeds the applicable reference fraud rate?</div> <div><input type="checkbox"/> Yes Continue to question 2</div> <div><input type="checkbox"/> No If this is a notification that you intend to make use again of the transaction risk analysis exemption, go to question 8</div>
Q2	<div>If this notification is not the first, please provide the reference number received when the original notification was submitted</div>
Q3	<div>Notification that the reference fraud rate is exceeded</div> <div>Please confirm <input type="checkbox"/> Yes <input type="checkbox"/> No that the fraud rates were calculated in accordance with SCA-RTS article 19</div>

Q4	Please provide the PSP's fraud rate(s), where they exceed the applicable reference fraud rate	GBP 440 GBP 220 GBP 85	Remote electronic card-based payments	Remote electronic credit transfers
Q5	For how many consecutive quarters has the fraud rate exceeded the applicable reference rate (if more than 1 quarter, please continue to question 6; otherwise, go to question 7)?	GBP 440 GBP 220 GBP 85	Remote electronic card-based payments	Remote electronic credit transfers
Q6	Please provide the date on which the PSP ceased to apply the transactional risk analysis exemption for the type(s) of transaction which exceeded the applicable reference fraud rate (DD/MM/YYYY)	GBP 440 GBP 220 GBP 85	Remote electronic card-based payments	Remote electronic credit transfers
Q7	Please provide a description of the measures that the PSP intends to adopt to restore compliance of their monitored fraud rate(s) with the applicable reference fraud rate(s)	max 500 words		
	Notification that you intend to make use again of the transaction risk analysis exemption			
Q8	Please provide the PSP's fraud rate(s) from the last quarter that have been restored to compliance with the applicable reference fraud rate.	GBP 440 GBP 220 GBP 85	Remote electronic card-based payments	Remote electronic credit transfers
Q9	Please confirm that you have provided, alongside this notification, the underlying data and the calculation methodology used in relation to the	<input type="checkbox"/> Yes <input type="checkbox"/> No		

Q10	fraud rate(s) that have been re-stored to compliance with the applicable reference fraud rate. When do you intend to start making use again of the transaction risk analysis exemption? (DD/MM/YYYY)
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