

Chapter 14

Incoming EEA firms changing details, and cancelling qualification for authorisation



14.5 Variation of a top-up permission to carry on regulated activities outside the scope of the Single Market Directives or the auction regulation

- 14.5.1** **G** Where an *incoming EEA firm* has been granted *top-up permission* by the *appropriate UK regulator* and wishes to vary that *permission*, the *Act* requires it to apply to the *appropriate UK regulator* for a variation of the *top-up permission*.
- 14.5.2** **G** *Guidance* on the procedures for applying for a variation of a *permission* granted under Part 4A of the *Act*, including a *top-up permission*, is given in **■** SUP 6 (Applications to vary and cancel Part 4A Permission).