

Chapter 13

Exercise of passport rights by UK firms



13.8 Changes of details: provision of notices to the appropriate UK regulator

13.8.1 R

- (1) A *firm* must complete and submit the following notices in accordance with the procedures in ■ SUP 13.5 for notifying the establishment of a *branch* or the provision of *cross border services*:
- (a) a notice of a change to a *branch* or a *tied agent* referred to in ■ SUP 13.6.5G(1), ■ SUP 13.6.5BG(1), ■ SUP 13.6.5DG, ■ SUP 13.6.5EG, ■ SUP 13.6.5FG, ■ SUP 13.6.7G(1), ■ SUP 13.6.8G, ■ SUP 13.6.9AG, ■ SUP 13.6.9BR, ■ SUP 13.6.9CG, ■ 13.6.9DG and ■ SUP 13.6.10G(1); or
 - (b) a notice of change to *cross border services* referred to in ■ SUP 13.7.3G(1), ■ SUP 13.7.3AG(1), ■ SUP 13.7.3DG, ■ SUP 13.7.3EG, ■ SUP 13.7.3GR, ■ SUP 13.7.5G(1), ■ SUP 13.7.6G, ■ SUP 13.7.11AG, ■ SUP 13.7.13BG, ■ SUP 13.7.14G and ■ SUP 13.7.15G.
- (2) [deleted]
- (a) [deleted]
 - (b) [deleted]
 - (c) [deleted]
 - (d) [deleted]
 - (e) [deleted]
 - (f) [deleted]
- (3) [deleted]
- (4) [deleted]

13.8.1A G

- The effect of ■ SUP 13.8.1 R (1) is that a *firm* should submit any form, notice or application under ■ SUP 13.8.1 R (1) in the following ways:
- (1) A *UK firm*, other than a *credit union*, should submit it online at www.fca.org.uk using the *online notification and application system*.
 - (2) If the information technology systems fail and online submission is unavailable for 24 hours or more, until such time as facilities for online submission are restored, a *firm* should submit it in the way set out in ■ SUP 13.5.3 R (3) and ■ SUP 15.7.4 R to ■ SUP 15.7.9 G (Form and method of notification). ■ GEN 1.3.2 R (Emergency) does not apply in these circumstances.

(3) If the information technology systems fail and online submission is unavailable for 24 hours or more, the *appropriate UK regulator* will endeavour to publish a notice on its website confirming that online submission is unavailable and that the alternative methods of submission should be used.

(4) [deleted]

13.8.1B **G**

13.8.2 **G** *UK firms* passporting under the *CRD* may be required to submit the change to details notice in the language of the *Host State* as well as in English.