

Chapter 10C

FCA senior managers regime
for approved persons in
SMCR firms

Form A: Application to perform senior management functions

Long Form A

Long Form A – Dual-regulated firms (including EEA and third country firms)

Long Form A – UK and overseas firms (not incoming EEA) for MiFID authorisation applications

Solo regulated firms (including EEA and third country)

Short Form A

Short Form A – Dual-regulated firms (including EEA and third country firms)

Solo regulated firms (including EEA and third country)