Chapter 10A

FCA Approved Persons in Appointed Representatives



Specification of functions 10A.4

- Each of the functions described in SUP 10A.4.4 R (the table of FCA controlled 10A.4.1 function) is an FCA controlled function.
- 10A.4.2 R [deleted]
- 10A.4.2A G [deleted]
- 10A.4.3 The fact that a *person* may be FCA-approved for one purpose does not have the effect of bringing all his activities within that FCA controlled function.
- 10A.4.4 FCA controlled functions

(FCA controlled functions for appointed representatives)		
Туре	CF	Description of FCA controlled function
FCA governing functions*	1	Director function
	2	Non-executive director function
	3	Chief executive function
	4	Partner function
	5	Director of unincorporated association function
Customer-dealing function	30	Customer function
*FCA significant-influence functions		