
Recognised Investment Exchanges

Schedule 1 Record keeping requirements

Sch 1.1 G

There are no record keeping requirements as such in *REC*.

UK recognised bodies have obligations under the *Recognition Requirements Regulations* to ensure that satisfactory arrangements are made for recording transactions effected by, or cleared through, their *facilities*. See [REC 2.9](#) for *guidance* (in the case of *RAPs*, see [REC 2.9](#) as applied by [REC 2A.3.2G](#)).

RAPs also have separate record keeping obligations under the *UK auctioning regulations*.

