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## Recognised Investment Exchanges

### Schedule 1 Record keeping requirements

#### Sch 1.1 G

There are no record keeping requirements as such in *REC*.

*UK recognised bodies* have obligations under the *Recognition Requirements Regulations* to ensure that satisfactory arrangements are made for recording transactions effected by, or cleared through, their *facilities*. See [REC 2.9](#) for *guidance* (in the case of *RAPs*, see [REC 2.9](#) as applied by [REC 2A.3.2G](#)).

*RAPs* also have separate record keeping obligations under the *UK auctioning regulations*.

