

Chapter 5

Applications for Recognition (UK recognised bodies)

5.1 Introduction and legal background

- 5.1.1 **G** A *body corporate* or an unincorporated association may apply to the *FCA* for recognition as a *UK recognised body* under sections 287 (Application by an investment exchange) or 288 (Application by a clearing house) of the *Act*.
- 5.1.1A **G** A *UK RIE* may apply to the *FCA* for recognition as a *RAP* under regulation 2 of the *RAP regulations*.
- 5.1.2 **G** This chapter sets out *guidance* for *UK* applicants and for *UK* entities which are considering making an application. *Guidance* for applicants and prospective applicants for *ROIE* status is given in ■ REC 6.