Recognised Investment Exchanges

Chapter 4
Supervision



4.2G Reports by skilled persons

G 4.2G.1

- (1) Where the FCA exercises its power conferred by section 166(1) of the Act (Reports by skilled persons), ■ SUP 5.5.1 R, ■ SUP 5.5.5 R and ■ SUP 5.5.9 R (to the extent they relate to the FCA's powers under section 166 of the Act) apply to a RIE in the same way as they apply to a firm.
- (2) The guidance in SUP 5 which relates to the FCA's power in section 166 of the Act also applies to a RIE in the same way as it applies to a firm.