

Chapter 4

Enforcement powers

4.1 Application and purpose

Application

- 4.1.1 **G** This chapter contains guidance for *issuers*, and *owners* and other persons subject to the *RCB Regulations*.

Purpose

- 4.1.2 **G** The purpose of this chapter is to give guidance on the *FCA's* approach to the use of its enforcement powers under the *RCB Regulations* and to set out the *FCA's* policy on the imposition and amount of financial penalties.