

Chapter 2

Applications for registration



2.2 Applying for registration

Form, manner and verification of application

- 2.2.1
- D
- The *issuer* must apply for registration using the form at
■ RCB 2 Annex 1D (application for registration).
- 2.2.2
- G
- RCB 3.6.5 D sets out the method(s) the *issuer* may use to send the form to the *FCA*.
- 2.2.3
- D
- Until the application has been determined by the *FCA*, the issuer must inform the *FCA* of any significant change to the information given in the application immediately it becomes aware of that change.
- 2.2.4
- G
- The form and content of the application documentation is a matter for direction by the *FCA*, which will determine what additional information and documentation may be required on a case-by-case basis.
- 2.2.5
- G
- The *FCA* will not treat the application as having been received until it receives the registration fee (see ■ FEES 3.2.7R(1)(zm)) and all relevant documentation requested by the *FCA* before its on-site review of the application.
- 2.2.6
- D
- The *issuer* must ensure that a *director* or a *senior manager* of the *issuer* verifies the application by confirming on the *FCA*'s form that the *issuer* has obtained the appropriate third party advice or reports as required by
■ RCB 2.3.16 D and is satisfied that:

(1) the information provided in the application is correct and complete;
and

(2) the arrangements relating to the *covered bond* or *programme* will comply with the requirements in the *RCB Regulations* and in *RCB*.
- 2.2.7
- G
- The *FCA* expects the *issuer* to be able to justify any reliance it places on advice or reports which are not reasonably contemporaneous with the confirmation the *senior manager* gives in relation to compliance with the requirements of the *RCB Regulations* and *RCB*.

2.2.8

D

The *issuer* must ensure that the *senior manager*, who verifies the application for registration under this section, gives their consent to the *FCA* displaying their confirmation of compliance with the relevant requirements on the *FCA's* website.