

## Chapter 2

Statement of policy with  
respect to the making of  
temporary product  
intervention rules

2.6 General considerations for product  
intervention rules

- 2.6.1

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Together with the considerations in ■ PROD 2.5, when making temporary or permanent product intervention *rules*, the *FCA* will have regard to the regulatory principles set out in section 3B of the *Act*, (see ■ PROD 2.9).
- 2.6.2

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The *FCA* will also take into account general considerations that include, but are not limited to, whether the proposed *rules* are:

(1) an appropriate and effective means of addressing actual or potential *consumer* detriment associated with a particular product or group of products;

(2) a proportionate and deliverable means of addressing actual or potential detriment;

(3) compatible with the *FCA*’s duty to promote effective competition in the interests of *consumers* (section 1B(4) of the *Act*);

(4) supported by sufficient and appropriate evidence;

(5) transparent in their aim and operation;

(6) likely to be beneficial for *clients* when taken as a whole; and

(7) compatible (where relevant) with other applicable law.
- 2.6.3

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In accordance with the Equality Act 2010, the *FCA* will have due regard to the need to:

(1) eliminate discrimination, harassment, victimisation and any other conduct that is prohibited by or under the Equality Act 2010;

(2) advance equality of opportunity between persons who share a relevant protected characteristic and persons who do not share it; and

(3) foster good relations between persons who share a relevant protected characteristic and persons who do not share it;

when making temporary or permanent product intervention *rules*.
- PROD 2/2
- www.handbook.fca.org.uk
- Release 35 ● Apr 2024