

## Chapter 8

# Financial promotion and related activities



8.35 Authorisation and exemption

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Any *person* who is contemplating carrying on the *regulated activities* of *advising on investments* or *making arrangements with a view to transactions in investments* by way of business will need *authorisation* or exemption. Exemption would usually be obtained by a *person* entering into an agreement with an *authorised person* under section 39 of the Act and the Financial Services and Markets Act 2000 (Appointed Representative) Regulations 2001.