

Chapter 7

Periodical publications, news
services and broadcasts:
applications for certification

		<div><div></div><div>7.7</div><div>Post-certification issues</div></div>
		<div>Ongoing monitoring</div>
7.7.1	G	If a certificate is granted then, until it is revoked, it is conclusive evidence that the exclusion under article 54 of the <i>Regulated Activities Order</i> applies. A <i>person</i> to whom a certificate is given should notify the <i>FCA</i> of any significant changes to the purpose or nature of the content of the relevant publication or service. The <i>FCA</i> will need to keep the content of the publication or service in question under review.
7.7.2	G	An annual fee of £1,000 will be charged to meet the costs of ongoing monitoring (see ■ SUP 20 Annex 3 R).
		<div>Revocation of certificate</div>
7.7.3	G	The <i>FCA</i> may revoke a certificate at the request of its holder or on the <i>FCA</i> 's own initiative if the <i>FCA</i> considers that it is no longer justified. If the <i>FCA</i> revokes a certificate on its own initiative, it would normally expect to give advance notice to the holder of the certificate together with a statement of the reasons for the proposed revocation, and give the holder of the certificate an opportunity to make representations. Where a certificate is revoked, the holder of the certificate, if he is an <i>unauthorised person</i> , will need to consider whether it is appropriate to continue to publish the periodical or provide the service without <i>authorisation</i> or exemption.
		<div>Publication of details of certificate holders</div>
7.7.4	G	The fact of a <i>person</i> holding a certificate granted under article 54(3) is information which may be of relevance to other <i>persons</i> (including investors or potential investors). For this reason, the <i>FCA</i> considers it appropriate that details of certificates granted under article 54(3) should be included in a list on the public record which the <i>FCA</i> is required to maintain under section 347 of the <i>Act</i> (The record of authorised persons, etc).
		<div>Further information</div>
7.7.5	G	For further information contact the Perimeter Enquiries Team of the <i>FCA</i> (email: authorisationenquiries@fca.org.uk , Tel 020 7066 0082).