
List of Part 9C rules

Schedule 6 List of Part 9C rules

Sch 6.1 G

This schedule contains a list of Part 9C rules (as defined in section 143F(1) of the Act) for the purposes of section 143F(2) of the Act.

Sch 6.2 G

(1) Except as specified in (2), each of the following is a Part 9C rule:

- (a) every *rule* in *MIFIDPRU*; and
- (b) every *rule* in ■ SYSC 19G (MIFIDPRU Remuneration Code).

(2) The following provisions are not Part 9C rules:

- (a) ■ MIFIDPRU 4.4.1R(3);
- (b) ■ MIFIDPRU 4.4.3R(2)(c);
- (c) ■ MIFIDPRU 4.4.4R(2)(c); and
- (d) ■ MIFIDPRU 4.4.6R.

