

Chapter 10

Firms acting as clearing members and indirect clearing firms



10.1 Application

- 10.1.1
- R
- This chapter applies to a *MIFIDPRU investment firm* that is:

(1) a *clearing member*; or

(2) an *indirect clearing firm*.
- 10.1.2
- R
- This chapter also applies to the *UK parent entity* of an *investment firm group* that contains a *clearing member* or an *indirect clearing firm*.