Organised trading facilities (OTFs)

Chapter 5A

Organised trading facilities (OTFs)



5A.9 Suspension and removal of financial instruments

5A.9.1

A firm must:

not exercise any power under its rules to suspend or remove from trading any *financial instrument* which no longer complies with its rules, where such a step would be likely to cause significant damage to the interest of investors or the orderly functioning of the trading venue;

where it does suspend or remove from trading a financial instrument, also suspend or remove derivatives that relate or are referenced to that financial instrument, where necessary to support the objectives of the suspension or removal of the underlying; and

make public any decision in (2) and notify the FCA of it.

[Note: article 32 of MiFID, article 80 of the MiFID Org Regulation and MiFID RTS 18]