

Chapter 5A

Organised trading facilities (OTFs)



5A.11 Post-trade transparency requirements for non-equity instruments: form of deferral

- 5A.11.1

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 A *firm* intending to apply to the *FCA* for deferral in accordance with article 11 of *MiFIR* (in relation to post-trade transparency for non-equity instruments) must apply in writing to the *FCA*.
[Note: article 11 of *MiFIR* and *MiFID RTS 2*]
- 5A.11.2

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 A *firm* should have regard to the urgency and significance of a matter and, if appropriate, should also notify its usual supervisory contact at the *FCA* by telephone by other prompt means of communication, before submitting written application. Oral notifications should be given directly to the *firm's* usual supervisory contact at the *FCA*. An oral notification left with another person or on a voicemail or other automatic messaging service is unlikely to have been given appropriately.